INNER IDEALS IN QUADRATIC JORDAN ALGEBRAS

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Abstract. The inner ideals play a role in the theory of quadratic Jordan algebras analogous to that played by the one-sided ideals in the theory of associative algebras. In particular, the Jordan algebras with descending chain condition on inner ideals are intimately related to the Artinian associative algebras. In this paper we will completely characterize all inner ideals in the semisimple Jordan algebras with descending chain condition. It is well known that any left or right ideal $\mathfrak B$ in a semi-simple Artinian $\mathfrak A$ is determined by an idempotent, $\mathfrak B=\mathfrak A f$ or $\mathfrak B=e\mathfrak A$. We show that any inner ideal in the quadratic Jordan algebra $\mathfrak A^+$ has the form $\mathfrak B=e\mathfrak A f$, and if $\mathfrak A$ has involution * the inner ideals of the Jordan algebra $\mathfrak S(\mathfrak A,*)$ of *-symmetric elements are "usually" of the form $\mathfrak B=e*\mathfrak B e$. We also characterize the inner ideals in the Jordan algebras $\mathfrak A(\mathfrak A,c)$ or $\mathfrak A(\mathfrak A,c)$ determined by a quadratic or cubic form.

The Second Structure Theorem says that a simple, unital Jordan algebra with d.c.c. on principal inner ideals belongs to one of six types. We begin by showing conversely that any algebra of such type has the d.c.c. on principal inner ideals, and characterizing the inner ideals in those types of capacity ≥ 3 . The proof makes detailed use of the structure theory, in particular the Coordinatization Theorem. Then we re-investigate the six types of simple algebras case by case, characterizing their inner ideals by more geometric methods.

1. **Preliminaries.** We recall some of the basic properties relating to inner ideals. Throughout we work with unital *quadratic Jordan algebras* (see [2], [3]) over an arbitrary commutative, associative ring of scalars Φ . Thus \Im is equipped with a cubic composition $(x, y) \rightarrow U_x y$ which satisfies (among other axioms) the *Fundamental Formula*

$$(1) U_{U(x)y} = U_x U_y U_x.$$

One obtains a Jordan algebra \mathfrak{A}^+ from an associative algebra \mathfrak{A} by taking $U_x y = xyx$. An inner ideal is a subspace \mathfrak{B} of \mathfrak{F} such that $U_{\mathfrak{B}}\mathfrak{F} \subset \mathfrak{B}$; the Fundamental Formula shows that if b is any element of \mathfrak{F} then $U_b\mathfrak{F}$ is an inner ideal, called the principal inner ideal determined by b. An important example is when b=e is idempotent, $e^2=e$, in which case $U_e\mathfrak{F}$ is just the Peirce 1-space $\mathfrak{F}_1(e)$.

Given an invertible element $u \in \mathfrak{F}$ we can form a new Jordan algebra, the *u-isotope* $\mathfrak{F}^{(u)}$, with unit $1^{(u)} = u^{-1}$ and *U*-operator $U_x^{(u)} = U_x U_u$. It is important that \mathfrak{F} and its isotopes have the same inner ideals.

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We recall [2], [3] the basic

MINIMAL INNER IDEAL THEOREM. If \mathfrak{B} is a minimal inner ideal in a Jordan algebra \mathfrak{F} then \mathfrak{B} has one of the following forms:

- I. $\mathfrak{B} = \Phi z$ for z trivial, $U_z = 0$;
- II. $\mathfrak{B} = U_b \mathfrak{F}$ for all $b \neq 0$ in \mathfrak{B} but $U_{\mathfrak{B}} \mathfrak{B} = \mathfrak{B}^2 = 0$;
- III. $\mathfrak{B} = U_e \mathfrak{F}$ for e a completely primitive idempotent.

In case II there is an isotope $\mathfrak{F}^{(u)}$ in which \mathfrak{B} is of type III, $\mathfrak{B} = U_{e^{(u)}}^{(u)}\mathfrak{F}^{(u)}$ for $e^{(u)}$ completely primitive in $\mathfrak{F}^{(u)}$.

An element x is regular (in the sense of von Neumann) if $x = U_x y$ for some y; an algebra is regular if all its elements are. This will prove a more useful condition than requiring \Im to be semisimple in the sense that its Jacobson radical is zero. For algebras satisfying the descending chain condition (or minimal condition) on inner ideals the following are equivalent (see [4]):

- (i) 3 is semisimple.
- (ii) 3 is regular.
- (iii) \Im contains no trivial elements $z \neq 0$ with $U_z = 0$.

It is well known that the same equivalence holds in Artinian associative algebras (with $U_z\mathfrak{A}=z\mathfrak{A}z$).

LEMMA 1. Let \Im contain no trivial elements. If \Re is a principal inner ideal minimal among all principal inner ideals, then \Re is minimal among all inner ideals. If \Im has minimum condition on principal inner ideals then every nonzero inner ideal contains a minimal inner ideal.

Proof. Let \mathfrak{B} be minimal among all principal inner ideals but suppose $\mathfrak{B} > \mathfrak{C} > 0$ for some (naturally nonprincipal) inner ideal \mathfrak{C} . If c is any nonzero element of \mathfrak{C} we have $U_c\mathfrak{F} \subset \mathfrak{C}$ since \mathfrak{C} is inner; $U_c\mathfrak{F} \neq 0$ since $c \neq 0$ implies c is not trivial by our hypothesis. But then $U_c\mathfrak{F}$ is a nonzero principal inner ideal strictly smaller than \mathfrak{B} , $U_c\mathfrak{F} \subset \mathfrak{C} < \mathfrak{B}$, contradicting the minimality of \mathfrak{B} .

Now suppose \Im has d.c.c. on principal inner ideals, and let \Re be any nonzero inner ideal. Then \Re contains nonzero principal inner ideals $U_b\Im \neq 0$ (since $b\neq 0$ cannot be trivial), so it contains a minimal principal inner ideal \Im by the d.c.c., and by the above \Im is actually a minimal inner ideal.

The structure group of \Im is the set of bijective linear transformation T on \Im for which there exists a bijective T^* satisfying

$$U_{Tx} = TU_{x}T^{*}$$

for all $x \in \mathfrak{F}$. From this it is clear that any element T of the structure group preserves inner ideals: $T\mathfrak{B}$ is inner if \mathfrak{B} is inner. The structure group can also be described as the *isotopies* of \mathfrak{F} , the isomorphisms of \mathfrak{F} with its isotopes.

The First Structure Theorem for quadratic Jordan algebras with d.c.c. on principal inner ideals [2], [3] says that every semisimple such algebra is a direct

sum of a finite number of simple ideals. The Second Structure Theorem says the simple ideals are *isotopic* to one of the following:

- I. a Jordan division algebra;
- II. a Jordan algebra $\Im(Q, c)$ determined by a nondegenerate quadratic form;
- II'. a split algebra $\mathfrak{J}(Q_0, \Phi_0)$ for Φ_0 an ample subspace of Φ ;
- III. Δ_n^+ for Δ an associative division algebra, $n \ge 2$;
- IV. $\mathfrak{H}(\Delta_n, \Delta_0)$ for $n \ge 2$, Δ an associative division algebra with involution (nontrivial if n = 2) and Δ_0 an ample subspace;
 - V. $\mathfrak{H}(\mathfrak{Q}_n, \Phi)$ for $\mathfrak{Q} = \Phi_2$ a split quaternion algebra over its center Φ ;
 - VI. $\mathfrak{H}(\mathfrak{C}_3)$ for \mathfrak{C} a Cayley algebra over its center.

These are the algebras whose inner ideals we will investigate. We get rid of case I right away by means of

PROPOSITION 1. A Jordan algebra is a division algebra if and only if it is not trivial and has no proper inner ideals.

Proof. If \Im is a division algebra, each element $b \neq 0$ is invertible, thus U_b is invertible, so if \Re is an inner ideal and $b \neq 0$ is in \Re we have $\Re \supset U_b \Im = \Im$. Conversely, if \Im has no proper inner ideals we must have $U_b \Im = \Im$ for all b, otherwise $U_b \Im = 0$ and Φb is an inner ideal; but $\Phi b = \Im$ would imply \Im is trivial, $U_{\Im} \Im = 0$. As soon as one U_b is surjective the algebra \Im necessarily has a unit (see [5]), therefore 1 is in the range of all U_b ($b \neq 0$) and so U_b and b are invertible.

Notice that this corresponds to the fact that an associative algebra is a division algebra if and only if it is not trivial and contains no proper one-sided ideals.

2. The d.c.c. for principal inner ideals. Here we want to establish the d.c.c. at least for *principal* inner ideals in the algebras in which we are interested. For finite-dimensional situations we can say more.

PROPOSITION 2. If \Im is a regular Jordan algebra then each inner ideal is invariant under the centroid $\Gamma(\Im)$. In particular, if \Im is regular and finite dimensional over a field Φ then \Im has the d.c.c. and a.c.c. on all inner ideals.

Proof. Let \mathfrak{B} be an inner ideal in \mathfrak{F} , $b \in \mathfrak{B}$, and $T \in \Gamma(\mathfrak{F})$. Then $b = U_b a$ for some $a \in \mathfrak{F}$ by regularity, so $Tb = T(U_b a) = U_b(Ta) \in U_b \mathfrak{F} \subset \mathfrak{B}$. This shows \mathfrak{B} is invariant under $\Gamma(\mathfrak{F})$. If \mathfrak{F} is an algebra over Φ , Φ is contained in the centroid and so all inner ideals are Φ -subspaces. The rest is clear.

COROLLARY. If $\mathfrak{F} = \mathfrak{F}(\mathfrak{D}_n, \gamma)$ for \mathfrak{D} a composition algebra over a field Φ then \mathfrak{F} has the a.c.c. and d.c.c. on all inner ideals.

Proof. \mathfrak{D} has dimension 1, 2, 4, or 8 over Φ , so \mathfrak{F} is finite dimensional. The regularity of such \mathfrak{F} is well known.

COROLLARY. If $\mathfrak{F} = \mathfrak{F}(Q, c)$ for Q a nondegenerate quadratic form on a finite-dimensional vector space \mathfrak{X} over a field Φ , then \mathfrak{F} has a.c.c. and d.c.c. on all inner ideals.

PROPOSITION 3. If $\mathfrak A$ is a regular associative algebra with involution then $\mathfrak S(\mathfrak A,*)$ is regular; indeed,

$$x^*\mathfrak{A}x \cap \mathfrak{H} = x^*\mathfrak{H}x \qquad (\mathfrak{H} = \mathfrak{H}(\mathfrak{A}, *))$$

for any x in \mathfrak{A} .

Proof. If $x=x^*$ belongs to \mathfrak{F} then by regularity of $\mathfrak{A} = xax$ for some $a \in \mathfrak{A}$. We need to find a *symmetric* element h which serves just as well. Now $x=x^*ax=(xax)^*ax=xa^*xax=xhx$ where $h=a^*xa\in \mathfrak{F}$ is symmetric (if $\frac{1}{2}\in \Phi$ we could more easily set $h=\frac{1}{2}(a+a^*)$). This shows \mathfrak{F} is regular.

For arbitrary $x \in \mathfrak{A}$, if x^*ax belongs to \mathfrak{H} then by regularity of \mathfrak{H} there is $h \in \mathfrak{H}$ with $x^*ax = (x^*ax)h(x^*ax) = (x^*ax)^*h(x^*ax) = x^*a^*xhx^*ax = x^*kx$ for $k = a^*xhx^*a$ $\in \mathfrak{H}$. This shows $x^*\mathfrak{A}x \cap \mathfrak{H} \subset x^*\mathfrak{H}x$; the reverse inclusion is obvious.

COROLLARY. Any principal inner ideal $b\mathfrak{H}b$ in $\mathfrak{H}(\mathfrak{A},*)$ for \mathfrak{A} regular is the intersection of \mathfrak{H} with the principal inner ideal $b\mathfrak{A}b$ in \mathfrak{A} . If \mathfrak{A} has the a.c.c. or d.c.c. on principal inner ideals then so does $\mathfrak{H}(\mathfrak{A},*)$.

Proof. If $b^*=b$ is symmetric then $b\mathfrak{H}b=b\mathfrak{U}b\cap\mathfrak{H}$ by the above. If $b_1\mathfrak{H}b_1$, $b_2\mathfrak{H}b_2$,... is an ascending or descending sequence of principal inner ideals in \mathfrak{H} then $b_1\mathfrak{U}b_1$, $b_2\mathfrak{U}b_2$,... is also increasing or decreasing in \mathfrak{U} by regularity (if $b\mathfrak{H}b=c\mathfrak{H}c$ then $b\in b\mathfrak{H}b=c\mathfrak{H}c$ care implies $b\mathfrak{U}b=c\mathfrak{U}c$), and if this latter sequence in \mathfrak{U} is constant after some point then so is the sequence of $b_i\mathfrak{H}b_i=b_i\mathfrak{U}b_i\cap\mathfrak{H}$.

If $\mathfrak A$ is regular and has the a.c.c. or d.c.c. on left and right ideals then it also has it on principal inner ideals, since $b\mathfrak Ab=b\mathfrak A\cap\mathfrak Ab$ by regularity $(x=ba=cb\Rightarrow x=xyx=baycb)$ and if $b\mathfrak Ab=c\mathfrak Ac$ then $b\in c\mathfrak Ac$ so $b\mathfrak A=c\mathfrak A$ and $\mathfrak Ab=\mathfrak Ac$. Thus from the previous corollary we obtain

PROPOSITION 4. If $\mathfrak A$ is a regular Artinian algebra with involution then $\mathfrak S(\mathfrak A,*)$ is regular and has the a.c.c. and d.c.c. on principal inner ideals.

A particular example of an algebra with involution is $\mathfrak{A} = \mathfrak{A} \oplus \mathfrak{A}^0$ under the exchange involution. Here $\mathfrak{H}(\mathfrak{A}, *)$ is Jordan-isomorphic to \mathfrak{A}^+ , so as a special case of Proposition 4 we see

PROPOSITION 5. If $\mathfrak A$ is a regular Artinian algebra then $\mathfrak A^+$ has the ascending and descending chain conditions on principal inner ideals.

Some of our simple Jordan algebras do not quite have the nice form $\mathfrak{H}(\mathfrak{A}, *)$ or $\mathfrak{F}(Q, c)$, but rather are ample outer ideals in such standard examples. We do not have to establish regularity or the chain conditions separately for these outer ideals—they are inherited from the larger algebra.

PROPOSITION 6. If \Re is an outer ideal in a Jordan algebra \Im then \Re is regular if \Im is. In this case

$$\Re \cap U_x \Im = U_x \Re \qquad (x \in \Im)$$

and \Re has the a.c.c. or d.c.c. on principal inner ideals if \Im does.

Proof. For regularity, given $x \in \Re$ we have $x = U_x y$ for some $y \in \Im$ if \Im is regular; in fact, we can assume $y = U_y x$ (replacing y by $y' = U_y x$ if necessary), so $y \in U_\Im \Re \subset \Re$ by definition of an outer ideal. Thus \Re inherits regularity. In this case, if $y \in \Re \cap U_x \Im$ then $y \in \Re$ has $y = U_y k$ for $k \in \Re$ since \Re is regular, so $y = U_y k \in U_{U(x)} \Im k$ $= U_x U_\Im U_x k \subset U_x \Re$ since \Re is outer. The reverse inclusion $U_x \Re \subset \Re \cap U_x \Im$ is clear. Also in this case, $b, c \in \Re$ have $U_b \Re \subset U_c \Re$ if and only if $U_b \Im \subset U_c \Im$ ($b \in U_b \Re \subset U_c \Re \cap U_c \Re \cap U_c \Im \cap U_c$

COROLLARY. If Δ is an associative division algebra with involution, Δ_0 an ample subspace, then $\mathfrak{F} = \mathfrak{F}(\Delta_n, \, \Delta_0, \, \gamma)$ is regular with a.c.c. and d.c.c. on principal inner ideals.

3. The main theorem. In this section we will classify the inner ideals in the simple algebras \Im of capacity ≥ 3 . We first establish a lemma which says that, quite generally, inner ideals are "close" to being principal inner ideals $U_b\Im$, and in a suitable isotope even close to being an inner ideal $U_e\Im$ for e idempotent. For the purposes of this section we say an element e, idempotent in some isotope of \Im , has capacity m if we can write $e = e_1 + \cdots + e_m$ for e_i completely primitive idempotents in that isotope.

MAIN LEMMA. Let \mathfrak{F} be a regular Jordan algebra with d.c.c. on principal inner ideals. If \mathfrak{B} is an inner ideal in \mathfrak{F} there is an isotope $\mathfrak{F}^{(u)}$ and an element $e^{(u)} \in \mathfrak{B}$, idempotent of capacity m in that isotope, such that the Peirce decomposition of \mathfrak{B} in $\mathfrak{F}^{(u)}$ relative to $e^{(u)}$ is

$$\mathfrak{B} = \mathfrak{J}_1 + \mathfrak{B}_{1/2} \qquad (\mathfrak{B}_{1/2} = \mathfrak{B} \cap \mathfrak{J}_{1/2}, \mathfrak{J}_i = \mathfrak{J}_i(e^{(u)})).$$

Proof. We want to choose a *maximal e*, but since we are assuming the d.c.c. rather than the a.c.c. we choose a *minimal* 1-e instead. So consider the set of principal inner ideals of the form $\mathfrak{F}_0(e^{(u)}) = U_{1(u)-e^{(u)}}^{(u)}\mathfrak{F}^{(u)}$ where $e^{(u)} \in \mathfrak{B}$ is idempotent with capacity in $\mathfrak{F}^{(u)}$, and choose a minimal one. Since \mathfrak{B} is still inner in $\mathfrak{F}^{(u)}$ it suffices to replace \mathfrak{F} by $\mathfrak{F}^{(u)}$ (and thus avoid cumbersome superscripts). So suppose $e \in \mathfrak{B}$ is an idempotent with capacity such that $\mathfrak{F}_0 = U_{1-e}\mathfrak{F}$ contains no $\mathfrak{F}_0^{(u)} = U_{1(u)-e^{(u)}}\mathfrak{F}^{(u)}$ properly.

We have $\mathfrak{B} = \mathfrak{B}_1 + \mathfrak{B}_{1/2} + \mathfrak{B}_0$ ($\mathfrak{B}_i = \mathfrak{B} \cap \mathfrak{F}_i$) since if $b = b_1 + b_{1/2} + b_0$ lies in \mathfrak{B} so do $b_1 = U_e b$ (since $e \in \mathfrak{B}$) and $b_{1/2} = e \circ b - 2b_1 \in \mathfrak{B} \circ \mathfrak{B} + \mathfrak{B} \subseteq \mathfrak{B}$, and therefore $b_0 = b - b_1 - b_{1/2}$ too. We have $\mathfrak{F}_1 = U_e \mathfrak{F} \subseteq \mathfrak{B}$ so $\mathfrak{B}_1 = \mathfrak{F}_1$. We must show $\mathfrak{B}_0 = 0$.

Now $\mathfrak{B}_0 = \mathfrak{B} \cap \mathfrak{F}_0$ is an inner ideal in \mathfrak{F}_0 , and \mathfrak{F}_0 inherits regularity and the d.c.c. on principal inner ideals from \mathfrak{F}_0 , so we saw in Lemma 1 and the Minimal Inner Ideal Theorem that if \mathfrak{B}_0 is nonzero it contains a minimal inner ideal of the form $U_{e_0^{(u_0)}}\mathfrak{F}_0^{(u_0)}$ for $e_0^{(u_0)}$ a completely primitive idempotent in the isotope $\mathfrak{F}_0^{(u_0)}$. But then $e^{(u)} = e + e_0^{(u_0)} \in \mathfrak{B}$ is an idempotent with capacity in the isotope $\mathfrak{F}_0^{(u)}$ for $u = e + u_0$, and $\mathfrak{F}_0(e^{(u)}) = U_1(u_0) - e_0^{(u_0)}\mathfrak{F} = U_1(u_0) - e_0^{(u_0)}\mathfrak{F}_0 = \mathfrak{F}_0(e^{(u_0)}) \subset \mathfrak{F}_0 = \mathfrak{F}_0(e)$ where the inclusion

is strict since $e_0^{(u_0)} \in \mathfrak{F}_0(e)$ but $e_0^{(u_0)} \notin \mathfrak{F}_0(e_0^{(u_0)})$. This contradicts the minimality of $\mathfrak{F}_0(e)$, so \mathfrak{B}_0 must be zero.

Let us call a subspace \mathfrak{B} of \mathfrak{J} a point space if it consists entirely of points (or rank one elements), elements b which generate a 1-dimensional principal inner ideal $U_b\mathfrak{J} = \Phi b$. Note that every subspace of a point space is again a point space, and every point space is an inner ideal.

LEMMA 2. If $\mathfrak{B}_{1/2}$ is a subspace of the Peirce space $\mathfrak{F}_{1/2}$ relative to an idempotent e then $\mathfrak{B} = \mathfrak{F}_1 + \mathfrak{B}_{1/2}$ will be an inner ideal if and only if

$$(2) U_{\mathfrak{B}_{1/2}}\mathfrak{F}_1 = 0,$$

$$\mathfrak{B}_{1/2}\circ\mathfrak{F}_1\subset\mathfrak{B}_{1/2}.$$

In this case any subspace $\mathfrak{B}'_{1/2} \subseteq \mathfrak{B}_{1/2}$ such that $\mathfrak{B}'_{1/2} \circ \mathfrak{F}_1 \subseteq \mathfrak{B}'_{1/2}$ gives rise to an inner ideal $\mathfrak{B}' = \mathfrak{F}_1 + \mathfrak{B}'_{1/2}$. $\mathfrak{B} = \mathfrak{F}_1 + \mathfrak{B}_{1/2}$ will be a point space if and only if

$$\mathfrak{J}_1 = \Phi e,$$

$$(5) U_{\mathfrak{B}_{1/2}}\mathfrak{F}_0 = U_{\mathfrak{B}_{1/2}}\mathfrak{F}_1 = 0.$$

Proof. The conditions are necessary since $U_{\mathfrak{B}_{1/2}}\mathfrak{F}_1 \subset \mathfrak{B} \cap \mathfrak{F}_0 = 0$ and $\mathfrak{B}_{1/2} \circ \mathfrak{F}_1 = U_{\mathfrak{B}_{1/2},\mathfrak{F}_1}e \subset \mathfrak{B} \cap \mathfrak{F}_{1/2} = \mathfrak{B}_{1/2}$. They are sufficient since they imply (for $b_{1/2} \in \mathfrak{B}_{1/2}$, $x_i, y_i \in \mathfrak{F}_i$)

$$\begin{aligned} U_{b_{1/2},x_1}y_1 &= (b_{1/2} \circ y_1) \circ x_1 \in (\mathfrak{B}_{1/2} \circ \mathfrak{F}_1) \circ \mathfrak{F}_1 \subset \mathfrak{B}_{1/2}, \\ U_{b_{1/2}}x_{1/2} &= b_{1/2} \circ P_1 \{b_{1/2} \circ x_{1/2}\} - x_{1/2} \circ P_0 (b_{1/2}^2) \\ &= b_{1/2} \circ P_1 \{b_{1/2} \circ x_{1/2}\} \subset \mathfrak{B}_{1/2} \circ \mathfrak{F}_1 \subset \mathfrak{B}_{1/2}, \end{aligned}$$

and hence

$$U_{\mathfrak{B}}\mathfrak{F} = U_{\mathfrak{I}_{1}}\mathfrak{F} + U_{\mathfrak{B}_{1/2}}\mathfrak{F} + U_{\mathfrak{B}_{1/2}}\mathfrak{F}_{1}$$

$$= \mathfrak{F}_{1} + U_{\mathfrak{B}_{1/2}}(\mathfrak{F}_{1} + \mathfrak{F}_{1/2} + \mathfrak{F}_{0}) + U_{\mathfrak{B}_{1/2}}\mathfrak{F}_{1}(\mathfrak{F}_{1} + \mathfrak{F}_{1/2})$$

$$\subseteq \mathfrak{F}_{1} + (0 + \mathfrak{B}_{1/2} + \mathfrak{F}_{1}) + (\mathfrak{B}_{1/2} + \mathfrak{F}_{1}) = \mathfrak{B}.$$

If \mathfrak{B} is a point space then $e \in \mathfrak{B}$ has $U_e \mathfrak{F} = \Phi e$ so (4) holds; also $U_{\mathfrak{B}_{1/2}} \mathfrak{F}_0 \subset \mathfrak{B}_{1/2} \cap \mathfrak{F}_1 = 0$ implies (5). Conversely, if (4), (5) hold and $b = \alpha e + b_{1/2} \in \mathfrak{B}$ then $U_b e = U_{\alpha e} e + U_{\alpha e, b_{1/2}} e = \alpha^2 e + \alpha \{e \ e \ b_{1/2}\} = \alpha b$, $U_b \mathfrak{F}_0 = U_{b_{1/2}} \mathfrak{F}_0 = 0$ by (5), $U_b x_{1/2} = \{ae \ x_{1/2} \ b_{1/2}\} + U_{b_{1/2}} x_{1/2} = \alpha P_1(x_{1/2} \circ b_{1/2}) + b_{1/2} \circ P_1(b_{1/2} \circ x_{1/2}) - x_{1/2} \circ P_0(b_{1/2}^2) = \alpha \beta e + \beta b_{1/2} - 0 = \beta b$ for $P_1(x_{1/2} \circ b_{1/2}) = \beta e$ by (4). Thus $U_b \mathfrak{F} = U_b(\Phi e + \mathfrak{F}_{1/2} + \mathfrak{F}_0) \subset \Phi b$ and b is a point.

We can now state our main result.

MAIN THEOREM. Let \Im be a simple unital Jordan algebra of capacity $n \ge 3$, so $\Im \cong \mathfrak{H}(\mathfrak{D}_n, \mathfrak{D}_0, \gamma)$ where \mathfrak{D} is either

- (i) $\mathfrak{D} = \Delta \oplus \Delta^0$ for Δ an associative division algebra under the exchange involution,
 - (ii) $\mathfrak{D} = \Delta$ for Δ an associative division algebra with involution,
 - (iii) $\mathfrak{D} = \mathfrak{Q} = \Phi_2$ a split quaternion algebra with standard involution,

- (iv) $\mathfrak{D} = \mathfrak{C}$ a split Cayley algebra with standard involution (n=3),
- (v) $\mathfrak{D} = \mathfrak{C}$ a Cayley division algebra with standard involution (n=3).

In case (ii) or (v) all inner ideals \mathfrak{B} are principal, $\mathfrak{B}=U_b\mathfrak{F}$, and in some isotope $\mathfrak{B}=U_e^{(u)}\mathfrak{F}^{(u)}$ for $e^{(u)}$ idempotent in $\mathfrak{F}^{(u)}$; the same holds in case (iv) when \mathfrak{B} has capacity ≥ 2 . In case (i) all inner ideals have the form $e^*\mathfrak{F}e$ for $e\in \mathfrak{D}_n$; the same holds in case (iii) when \mathfrak{B} has capacity ≥ 2 . In cases (iii) and (iv), every capacity 1 inner ideal is a point space. In case (iii) every maximal point space has the form $\mathfrak{B}=\Phi e_1+\mathfrak{D}e[12]$ or $\mathfrak{B}=\Phi e_1+\mathfrak{E}[12]+\cdots+\mathfrak{E}[1n]$ in a suitable isotope where e_1,e_2,\ldots,e_n are strongly connected completely primitive idempotents in $\mathfrak{F}^{(u)}$ and ε a primitive idempotent in \mathfrak{D} . In case (iv), every maximal point space has either the form $\mathfrak{B}=\Phi e_1+\mathfrak{E}[12]$ or the form $\mathfrak{B}=\Phi e_1+\mathfrak{E}[12]+\Phi e[13]$ in a suitable isotope for e_1,e_2,e_3 strongly connected idempotents in $\mathfrak{F}^{(u)}$ and ε a primitive idempotent in \mathfrak{E} .

Proof. By the Main Lemma, $\mathfrak{B} = \mathfrak{J}_1 + \mathfrak{B}_{1/2}$ relative to $e = e_1 + \cdots + e_m$ in some isotope, where e_1, \ldots, e_n are strongly connected primitive idempotents in $\mathfrak{F}^{(u)}$. Relative to this family we obtain an isomorphism $\mathfrak{F}^{(u)} = \mathfrak{F}(\mathfrak{D}_n, \mathfrak{D}_0)$ by the Coordinatization Theorem [2], [3] in such a way that the e_i correspond to the diagonal idempotents 1[ii]. Since \mathfrak{F} is simple, by the Herstein-Kleinfeld-Osborn Theorem we have the possibilities (i)–(v) for \mathfrak{D} . Replacing \mathfrak{F} by $\mathfrak{F}^{(u)}$, assume $\mathfrak{F} = \mathfrak{F}(\mathfrak{D}_n, \mathfrak{D}_0)$.

We use this coordinatization to draw further information from the decomposition $\mathfrak{B} = \mathfrak{F}_1 + \mathfrak{B}_{1/2}$. Write $b \in \mathfrak{B}_{1/2}$ as $b = \sum x_{ij}(b)[ij]$ for $x_{ij}(b) \in \mathfrak{D}$, $1 \le i \le m$, $m+1 \le j \le n$. (We use i, i' for indices between 1 and m, corresponding to the idempotents $e_{kk} \in \mathfrak{B}$, and j, j' for indices between m+1 and n, corresponding to $e_{kk} \notin \mathfrak{B}$.) The condition (3) that $\mathfrak{F}_1 \circ \mathfrak{B}_{1/2} \subset \mathfrak{B}_{1/2}$ amounts to $d[i'i] \circ b \in \mathfrak{B}_{1/2}$, $d_0[ii] \circ b \in \mathfrak{B}_{1/2}$ for $d \in \mathfrak{D}$, $d_0 \in \mathfrak{D}_0$ since $\mathfrak{F}_1 = \sum \mathfrak{D}_0[ii] + \sum \mathfrak{D}[i'i]$, hence

$$(6) \qquad \mathfrak{B}_{1/2} = \mathfrak{B}_{1/2,1} \oplus \cdots \oplus \mathfrak{B}_{1/2,m} \qquad (\mathfrak{B}_{1/2,i} = \mathfrak{B} \cap \mathfrak{F}_{1/2}(e_i) = e_i \circ \mathfrak{B}_{1/2}),$$

(7)
$$x_{ij}(d_0[ii] \circ b) = d_0 x_{ij}(b) \qquad (d_0 \in \mathfrak{D}_0),$$

(8)
$$x_{i'j}(d[i'i] \circ b) = dx_{ij}(b) \qquad (d \in \mathfrak{D}).$$

The condition (2) that $U_{\mathfrak{B}_{1/2}}\mathfrak{F}_1=0$ amounts to

$$U_{x_{ij}(b)[ij]}d_0[ii] = \{x_{ij}(b)[ij] d_0[ii] x_{ij'}(b)[ij']\} = 0$$

and

$$\begin{aligned} \{x_{ij}(b)[ij] \ d[ii'] \ x_{i'j}(b)[i'j]\} &= \{x_{ij}(b)[ij] \ d[ii'] \ x_{i'j'}(b)[i'j']\} \\ &+ \{x_{ij'}(b)[ij'] \ d[ii'] \ x_{i'j}(b)[i'j]\} = 0, \end{aligned}$$

hence

(9)
$$\overline{x_{ij}(b)}\mathfrak{D}_0x_{ij}(b) = 0,$$

$$\overline{x_{ij}(b)}\mathfrak{D}_0x_{ij'}(b)=0,$$

(11)
$$t(\overline{x_{ij}(b)}\mathfrak{D}x_{i'j}(b))=0 \qquad (t(x)=x+\bar{x}),$$

(12)
$$\overline{x_{ij}(b)}dx_{i'j'}(b) + \overline{x_{ij'}(b)}dx_{i'j}(b) = 0.$$

Let \mathfrak{G}_{ij} denote the subspace of \mathfrak{D} of elements of the form $x_{ij}(b)$ for $b \in \mathfrak{B}_{1/2}$. By (9), if the norm of $x \in \mathfrak{D}$ is $n(x) = \bar{x}x$, then

$$n(\mathfrak{E}_{ij})=0.$$

Also, if the capacity is $m \ge 2$ then there exist distinct i, i' and (8) shows $\mathfrak{D}\mathfrak{E}_{ij} \subset \mathfrak{E}_{i'j}$. In particular, $\mathfrak{E}_{ij} \subset \mathfrak{E}_{i'j}$ for all $i \ne i'$, so all \mathfrak{E}_{ij} have a common value \mathfrak{E}_{j} which is a left ideal:

(14)
$$\mathfrak{E}_{ij} = \mathfrak{E}_j (1 \le i \le m) \text{ where } \mathfrak{D}\mathfrak{E}_j \subset \mathfrak{E}_j (\text{if } m \ge 2).$$

Right away we can get rid of cases (ii) and (v) and case (iv) when $m \ge 2$; we claim $\mathfrak{B}_{1/2} = 0$, $\mathfrak{B} = \mathfrak{F}_1 = U_e \mathfrak{F}$ is principal. Indeed, since \mathfrak{D} is a division algebra in cases (ii), (v) the formula (13) shows $\mathfrak{E}_{ij} = 0$, $x_{ij}(b) = 0$, and $b = \sum x_{ij}(b)[ij] = 0$ for $b \in \mathfrak{B}_{1/2}$. A similar argument works for case (iv) when $m \ge 2$; here \mathfrak{D} is not a division algebra, but it still contains no proper left ideals, so by (14) \mathfrak{E}_{ij} is an ideal which cannot be all of \mathfrak{D} by (13), and $\mathfrak{E}_{ij} = 0$.

Next, let us turn to case (i). Write $x_{ij}(b) \in \mathfrak{D} = \Delta \oplus \Delta^0$ as $y_{ij}(b) \oplus z_{ij}(b)$. Then (9) and (10) imply $z_{ij}(b)y_{ik}(b) = 0$ for all j, k, and since Δ is a division algebra for any b we have either $z_{ij}(b) = 0$ or $y_{ik}(b) = 0$. But then a standard argument shows either $z_{ij}(b) = 0$ for all b or $y_{ik}(b) = 0$ for all b (j, k fixed), therefore $z_{ij}(\mathfrak{B}) = 0$ for all j or $y_{ij}(\mathfrak{B}) = 0$ for all j. Furthermore, all indices i behave in the same way: if $y_{ij}(\mathfrak{B}) \neq 0$ but all $z_{ij}(\mathfrak{B}) = 0$ then for any i' we have $z_{i'j}(\mathfrak{B}) = 0$ for all j because of (14). Thus we may assume (say) $z_{ij}(\mathfrak{B}) = 0$ for all i, j (dually if all $y_{ij}(\mathfrak{B}) = 0$). This means $b = \sum x_{ij}(b)[ij] = \sum \{y_{ij}(b) \oplus 0\}[ij] = \sum y_{ij}(b)\varepsilon[ij]$ for $\varepsilon = 1 \oplus 0 \in \Delta \oplus \Delta^0 = \mathfrak{D}$. Suppose $y_{1\,m+1},\ldots,y_{1\,m+r}$ form a basis for the space of linear functionals $\mathfrak{B}\to\Delta$ spanned by $y_{1\,m+1}, \ldots, y_{1\,n}: y_{1\,m+s} = \sum y_{1\,j} \delta_{js}$ for $s = r+1, \ldots, n-m$. By (8) this implies $y_{i,m+s} = \sum y_{ij} \delta_{js}$ for all i. Thus the component of b in $\mathfrak{B}_{1/2,i}$ (as in (6)) is $y_i(b)$ $= \sum_{m+1}^{m+r} y_{ij}(b)\varepsilon[ij] + \sum_{s} y_{ij}(b)\delta_{js}\varepsilon[i\ m+s] = \sum_{m+1}^{m+r} y_{ij}(b)\varepsilon[ij]' \quad \text{where} \quad d[ij]' = d[ij]$ $+\sum d\delta_{js}[i\ m+s] = de_{ij}e'_{jj} + \bar{d}e'_{jj}e_{ji}$ for $e'_{jj} = e_{jj} + \sum \delta_{js}[j\ m+s]$ are orthogonal idempotents in $\mathfrak{F} = \mathfrak{F}(\Delta_n \oplus \Delta_n^0)$ strongly connected to e_{ii} by 1[ij]'. Using the e'_{jj} in place of the e_{jj} we obtain a coordinatization of \Im relative to which $\mathfrak{B}_{1/2} = \bigoplus \mathfrak{B}_{1/2,i}$ $= \bigoplus \mathfrak{D}\varepsilon[ij]'$ and $\mathfrak{B} = e^*\mathfrak{F}e$ for $e = \sum e_{ii} + \varepsilon \sum e'_{ji}$.

Before turning to case (iii) in capacity $m \ge 2$ we find it convenient to prove two lemmas.

LEMMA 3. If relative to e_1, \ldots, e_n the element $b = x_{m+1}[1 \ m+1] + \cdots + x_n[1n] \in \mathfrak{H}(\mathfrak{D}_n)$ ($\mathfrak{D} = \mathfrak{D}$ or \mathfrak{C}) has $U_b e_1 = 0$ then there are primitive orthogonal idempotents e'_1, \ldots, e'_n with $e'_1 = e_1, \ldots, e'_m = e_m$ such that $b \in \mathfrak{H}'_{1n} = U_{e'_1, e'_n}\mathfrak{H}$.

Proof. For convenience we assume m=1; choose e_2, \ldots, e_n so that b has as few nonzero entries in $\mathfrak{F}_{12}, \ldots, \mathfrak{F}_{1n}$ as possible. We claim there is just one such entry. Otherwise there are two—for convenience say $b=\sum x_i[1i]$ where $x_2, x_3 \neq 0$. The components in \mathfrak{F}_{22} and \mathfrak{F}_{23} of U_be_1 are $U_{x_2[12]}e_1=n(x_2)e_2$ and $\{x_3[13]e_1 x_2[12]\}$ = $\bar{x}_3x_2[32]$, so $n(x_2)=0$ and $\bar{x}_3x_2=0$. By nondegeneracy of the norm form on

 $\mathfrak{D} = \mathfrak{Q}$ or \mathfrak{C} there is $x \in \mathfrak{D}$ with $n(x, x_3) = 1$; then $x_2 = n(x, x_3)x_2 = x(\bar{x}_3x_2) + x_3(\bar{x}x_2)$ = x_3a where $a = \bar{x}x_2$ has $n(a) = n(\bar{x})n(x_2) = 0$.

Consider $e'_2 = e_2 - a[32]$, $e'_3 = e_3 + a[32]$, $e'_i = e_i$ for $i \neq 2, 3$. Since n(a) = 0, e'_2 and e'_3 are primitive orthogonal idempotents, $e'_2 + e'_3 = e_2 + e_3$, so the e'_i form a primitive orthogonal family. The components of b in \mathfrak{J}'_{1i} for $i = 4, \ldots, n$ are the same as those in \mathfrak{J}_{1i} since $\{e_1 \ b \ e'_i\} = \{e_1 \ b \ e_i\}$, but the component in \mathfrak{J}_{12} is now zero since

$$\{e_1 \ b \ e_2'\} = \{e_1 \ b \ e_2\} - \{e_1 \ b \ a[32]\}$$

$$= x_2[12] - \{e_1 \ x_2[12] \ \bar{a}[23]\} - \{e_1 \ x_3[13] \ a[32]\}$$

$$= \{x_2 - x_3 a\}[12] - x_2 \bar{a}[13] = 0$$

where $x_2 = x_3 a$ and $x_2 \bar{a} = (x_3 a) \bar{a} = x_3 n(a) = 0$. Thus we have one less component relative to e'_2, \ldots, e'_n , contradicting the minimality of e_2, \ldots, e_n . This means there could only have been one nonzero component to begin with.

Lemma 4. Any totally isotropic subspace \mathfrak{C}_0 of the split Cayley algebra \mathfrak{C} is isotopic to one of

- (i) $\Phi_{\varepsilon_{11}}$,
- (ii) $\Phi \varepsilon_{11} + \Phi \varepsilon_{10}$ or $\Phi \varepsilon_{11} + \Phi \varepsilon_{01}$,
- (iii) $\Phi \varepsilon_{11} + \Phi \varepsilon_{10} + \Phi \eta_{10}$ or $\Phi \varepsilon_{11} + \Phi \varepsilon_{01} + \Phi \eta_{01}$,
- (iv) $\varepsilon_{11} \mathfrak{C} = \Phi \varepsilon_{11} + \Phi \varepsilon_{10} + \Phi \eta_{10} + \Phi \nu_{10}$ or $\mathfrak{C} \varepsilon_{11} = \Phi \varepsilon_{11} + \Phi \varepsilon_{01} + \Phi \eta_{01} + \Phi \nu_{01}$ according to its dimension, where ε_{11} , ε_{00} , ε_{10} , ε_{01} , η_{10} , η_{01} , ν_{10} , ν_{01} is a basis of Cayley matrix units for \mathfrak{C} .

There is an isomorphism $\mathfrak{H}(\mathfrak{C}_3, \gamma) \to \mathfrak{H}(\mathfrak{C}_3, \gamma')$ sending $e_i \to e_i$ and $\mathfrak{C}_0[12] \to \mathfrak{C}_0'[12]$ where \mathfrak{C}_0' is one of (i)–(iv). Similarly, in the case of a totally isotropic subspace \mathfrak{D}_0 of a split quaternion algebra \mathfrak{D} there is an isomorphism $\mathfrak{H}(\mathfrak{D}_n, \gamma) \to \mathfrak{H}(\mathfrak{D}_n, \gamma')$ sending $e_i \to e_i$ and $\mathfrak{D}_0[1n] \to \mathfrak{D}_0'[1n]$ where \mathfrak{D}_0' is one of (i)–(ii).

Proof. By Witt's Theorem, every totally isotropic subspace \mathfrak{C}_0 of \mathfrak{C} is conjugate to one of the first members of the pairs (i)-(iv) under an orthogonal transformation, and conjugate to either the first or second member of the pair under a proper orthogonal transformation t. Then [8, p. 161] we have $t(xy) = t_1(x)t_2(y)$ for proper orthogonal t_1 , t_2 . This implies $t_1(x) = t(x)u$, $t_2(x) = vt(y)$, and $t(xy) = \{t(x)u\}\{vt(y)\}$ for $u = t_2(1)^{-1}$, $v = t_1(1)^{-1}$. Thus t is an isomorphism of \mathfrak{C} with its u, v-isotope $\mathfrak{C}^{(u,v)}$ (see [7]) sending \mathfrak{C}_0 to one of the above standard models \mathfrak{C}'_0 .

The isomorphism $\mathfrak{C} \to \mathfrak{C}^{(u,v)}$ extends to an isomorphism $\mathfrak{H}(\mathfrak{C}_3, \gamma) \to \mathfrak{H}(\mathfrak{C}_3^{(u,v)}, \gamma'')$ sending $e_i \to e_i$ and $\mathfrak{C}_0[ij] \to \mathfrak{C}'_0[ij]$. On the other hand, the elements $(uv)^{-1}[12]$, $v^{-1}[13]$ determine a coordinatization $\varphi \colon \mathfrak{H}(\mathfrak{C}_3, \gamma') \to \mathfrak{H}(\mathfrak{C}_3^{(u,v)}, \gamma'')$ for $\gamma_2'' = n(uv)\gamma_2'$, $\gamma_3'' = n(uv)\gamma_3'$ by [7, Theorem 3]. Turning this around, for $\gamma_2' = n(uv)^{-1}\gamma_2''$, $\gamma_3' = n(v)^{-1}\gamma_3''$ we have $\varphi^{-1} \colon \mathfrak{H}(\mathfrak{C}_3^{(u,v)}, \gamma'') \to \mathfrak{H}(\mathfrak{C}_3, \gamma')$ sending $e_i \to e_i$, $\mathfrak{C}'_0[12] \to \mathfrak{C}'_0[12]$ (φ corresponds to the identity map on $\mathfrak{C}[12] \to \mathfrak{C}^{(u,v)}[12]$, though not on the other $\mathfrak{C}[ij]$). Composing these, we obtain the desired $\mathfrak{H}(\mathfrak{C}_3, \gamma) \to \mathfrak{H}(\mathfrak{C}_3, \gamma')$.

A similar process works in the quaternion case.

To return to case (iii), $m \ge 2$, if $\mathfrak{B}_{1/2} \ne 0$ then from (6) $\mathfrak{B}_{1/2} = \bigoplus \mathfrak{B}_{1/2,i}$ we can assume (for convenience) $\mathfrak{B}_{1/2,1} \ne 0$: some $b_0 = \sum x_{1,i}(b_0)[1j] \ne 0$. Applying the lemmas we can assume $b_0 = \varepsilon[1n]$. By linearizing (12) with i' = 1, j' = n we have

$$0 = \overline{x_{ij}(b)} \, dx_{1n}(b_0) + \overline{x_{ij}(b_0)} \, dx_{1n}(b) + \overline{x_{in}(b)} \, dx_{1j}(b_0) + \overline{x_{in}(b_0)} \, dx_{1j}(b)$$

$$= \overline{x_{ij}(b)} \, d\varepsilon \quad \text{for all } b \in \mathfrak{B}, \, d \in \mathfrak{D}.$$

By primeness of $\mathfrak{D} = \mathfrak{D}$ we get $x_{ij}(b) = 0$ for all b as long as $i \neq 1$, $j \neq n$. But then $\mathfrak{E}_{ij} = 0$ for $i \neq 1$, $j \neq n$ implies $\mathfrak{E}_{j} = 0$ for $j \neq n$ by (14), while $\varepsilon \in \mathfrak{E}_{n}$ implies $\mathfrak{E}_{n} \supset \mathfrak{D}\varepsilon$; since $\mathfrak{D}\varepsilon$ is maximal isotropic, by (13) we have $\mathfrak{E}_{n} = \mathfrak{D}\varepsilon$. Thus $\mathfrak{B}_{1/2,i} = \mathfrak{E}_{in}[in] = \mathfrak{E}_{n}[in] = \mathfrak{D}\varepsilon[in]$ and $\mathfrak{B}_{1/2} = \bigoplus \mathfrak{B}_{1/2,i} = \mathfrak{D}\varepsilon[1n] + \cdots + \mathfrak{D}\varepsilon[mn]$. It is easily verified that $\mathfrak{B} = e^*\mathfrak{D}_{n}e \cap \mathfrak{F} = e^*\mathfrak{F}\varepsilon$ for $e = e_{11} + \cdots + e_{mm} + \varepsilon e_{nn}$ in \mathfrak{D}_{n} (note $(\varepsilon e_{nn})\mathfrak{D}_{0}[nn](\varepsilon e_{nn}) = \Phi\varepsilon\varepsilon e_{nn} = 0$).

Finally, we must consider the case of capacity m=1 in (iii) and (iv). We begin with (iii), $\mathfrak{B} = \Phi e_1 + \mathfrak{B}_{1/2}$ where any $b \in \mathfrak{B}_{1/2}$ can be written $b = x_2(b)[12] + \cdots + x_n(b)[1n]$. By the lemmas we may assume $b_0 = \varepsilon[12] \in \mathfrak{B}_{1/2}$. From (9), (10)

$$\overline{x_i(b)}x_k(b) = 0$$

so linearization gives

$$\overline{x_i(b)}x_k(b_0) + \overline{x_i(b_0)}x_k(b) = 0.$$

For j=2, $k \neq 2$ we have $x_2(b_0) = \varepsilon$, $x_k(b_0) = 0$ so $\varepsilon x_k(b) = 0$. In \mathfrak{D} we have $\varepsilon = \varepsilon_{11}$, $\varepsilon = \varepsilon_{00} = 1 - \varepsilon_{11}$ so this says $x_k(b) \in \varepsilon \mathfrak{D} = \mathfrak{D}_{11} + \mathfrak{D}_{10} = \Phi \varepsilon_{11} + \Phi \varepsilon_{10}$ (ε_{ij} the matrix units for $\mathfrak{D} = \Phi_2$):

$$x_k(b) = \alpha_{k11}(b)\varepsilon_{11} + \alpha_{k10}(b)\varepsilon_{10} \qquad (k \neq 2).$$

For k=2 we get

$$\overline{x_2(b)}\varepsilon + \bar{\varepsilon}x_2(b) = 0, \qquad \bar{\varepsilon}x_2(b)\bar{\varepsilon} = 0,$$

$$x_2(b) = \alpha_{211}(b)\varepsilon_{11} + \alpha_{210}(b)\varepsilon_{10} + \alpha_{201}(b)\varepsilon_{01}.$$

Then

$$0 = \overline{x_k(b)}x_2(b) = \{\alpha_{k11}(b)\epsilon_{00} - \alpha_{k10}(b)\epsilon_{10}\}\{\alpha_{211}(b)\epsilon_{11} + \alpha_{210}(b)\epsilon_{10} + \alpha_{201}(b)\epsilon_{01}\}$$
$$= \alpha_{k11}(b)\alpha_{201}(b)\epsilon_{01} - \alpha_{k10}(b)\alpha_{201}(b)\epsilon_{11}$$

and

$$0 = \overline{x_2(b)}x_2(b)$$

$$= \{\alpha_{211}(b)\epsilon_{00} - \alpha_{210}(b)\epsilon_{10} - \alpha_{201}(b)\epsilon_{01}\}\{\alpha_{211}(b)\epsilon_{11} + \alpha_{210}(b)\epsilon_{10} + \alpha_{201}(b)\epsilon_{01}\}$$

$$= -\alpha_{210}(b)\alpha_{201}(b)\epsilon_{11} - \alpha_{201}(b)\alpha_{210}(b)\epsilon_{00}$$

imply

(15)
$$\alpha_{k11}(b)\alpha_{201}(b) = \alpha_{k10}(b)\alpha_{201}(b) = \alpha_{210}(b)\alpha_{201}(b) = 0.$$

There are two possibilities: if $\alpha_{201}(b)$ vanishes identically then $x_k(b) \in \varepsilon \mathfrak{D}$, $x_2(b) \in \varepsilon \mathfrak{D}$ so $\mathfrak{B}_{1/2} \subset \varepsilon \mathfrak{D}[12] + \cdots + \varepsilon \mathfrak{D}[1n]$ where $\mathfrak{B}' = \Phi e_1 + \varepsilon \mathfrak{D}[12] + \cdots + \varepsilon \mathfrak{D}[1n]$ is already a maximal point space. On the other hand, if the function α_{201} does not vanish identically then by (15) and its linearization $\alpha_{k11} = \alpha_{k10} = \alpha_{210} = 0$, $x_k(b) = 0$ for $k \neq 2$ and $x_2(b) \in \mathfrak{D}_{11} + \mathfrak{D}_{01} = \mathfrak{D}\varepsilon$, $\mathfrak{B}_{1/2} \subset \mathfrak{D}\varepsilon[12]$ where again $\mathfrak{B}'' = \Phi e_1 + \mathfrak{D}\varepsilon[12]$ is a maximal point space.

A more complicated argument applies to case (iv) when m=1. Following the approach of T. A. Springer and F. Veldkamp [9], we show that every point space \mathfrak{B} of dimension ≤ 5 can be imbedded in a Peirce space $\mathfrak{F}_0(e_3)$ for some e_3 in some isotope.

We will have occasion to use the following criterion: if we can find α , $\beta \in \Phi$ and $a \in \mathbb{C}$ such that

(i)
$$\alpha\beta = n(a)$$
,

(16) (ii) for all
$$b = \lambda e_1 + x_2[12] + x_3[13]$$
 in $\mathfrak{B} = \Phi e_1 + \mathfrak{B}_{1/2}$, $\alpha x_2 + x_3 \bar{a} = 0$, $\beta x_3 + x_2 a = 0$,

then in $\tilde{\mathfrak{F}} = \mathfrak{F}^{(u^{-1})}, u = e_1 + c[23]$ where

(iii)
$$n(a, c) = 1, n(c) \neq 0$$

(such elements always exist for $a \neq 0$), the element $\tilde{e}_3 = U_u w$ for $w = \alpha e_2 + \beta e_3 + a[23]$ is a primitive idempotent with $\mathfrak{B} \subset \tilde{\mathfrak{F}}_0(\tilde{e}_3)$. To see this, note $\tilde{U}_{\tilde{e}_3} = U_{\tilde{e}_3} U_{u^{-1}} = U_u U_w$ and $\tilde{V}_{\tilde{e}_3} = V_{\tilde{e}_3, u^{-1}} = V_{u, w}$. The condition that \tilde{e}_3 be idempotent in $\tilde{\mathfrak{F}}$ is $w = U_w u = \{\alpha \beta c + a \bar{c} a\}[23] + \alpha n(a, c) e_2 + \beta n(a, c) e_3$, so the result follows from (iii) (observe $\alpha \beta c + a \bar{c} a = n(a) c + \{n(a, c)a - cn(a)\} = a$). The condition that \tilde{e}_3 be primitive is that w be rank 1, which follows from (i). The conditions $\tilde{U}_{\tilde{e}_3} \mathfrak{B} = \tilde{V}_{\tilde{e}_3} \mathfrak{B} = 0$ that \mathfrak{B} be contained in $\tilde{\mathfrak{F}}_0(\tilde{e}_3)$ reduce to $U_w \mathfrak{B} = \{u \ w \ \mathfrak{B}\} = 0$; the first is automatic since $w \in \mathfrak{F}_0(e_1)$, as is $\{u \ w \ \Phi e_1\} = 0$, while $\{u \ w \ x_2[12] + x_3[13]\} = \{\beta x_3 + x_2 a\} \bar{c}[12] + \{\alpha x_2 + x_3 \bar{a}\} c[13] = 0$ by (ii).

If $b = x_2[12] + x_3[13] \in \mathfrak{B}$ where $b_1 = \varepsilon[12] \in \mathfrak{B}$ then the conditions

$$\overline{x_2(b)}x_3(b_1) + \overline{x_2(b_1)}x_3(b) = 0$$

imply $\bar{\epsilon}x_3 = 0$,

(17)
$$x_3(b) = \alpha_{311}(b)\varepsilon_{11} + x_{310}(b) (x_{310}(b) \in \mathfrak{G}_{10}).$$

Similarly

$$\overline{x_2(b)}x_2(b_1) + \overline{x_2(b_1)}x_2(b) = 0$$

implies $\bar{\epsilon}x_2(b)\bar{\epsilon}=0$, and by subtracting a suitable multiple of b_1 we can also arrange that $\epsilon x_2(b)\epsilon=0$:

(18)
$$x_2(b) = x_{210}(b) + x_{201}(b) \qquad (x_{2ij}(b) \in \mathfrak{C}_{ij}).$$

The condition $n(x_2(b)) = 0$ and $\overline{x_2(b)}x_3(b) = 0$ leads to

- (19) $x_{201}(b) \perp x_{210}(b)$,
- (20) $x_{201}(b) \perp x_{310}(b)$,
- (21) $\alpha_{311}(b)x_{201}(b) = x_{310}(b)x_{210}(b)$

(where $x \perp y$ means t(x, y) = 0). If $b_2 = \varepsilon_{10}[12] \in \mathfrak{B}$ then similarly $\varepsilon_{10}x_3 = 0$, and $\varepsilon_{01}x_3 = 0$ if $\varepsilon_{01}[12] \in \mathfrak{B}$:

(22)
$$\varepsilon_{10} x_{310}(b) = 0 \quad \text{if } \varepsilon_{10}[12] \in \mathfrak{B},$$

(23)
$$\alpha_{311}(b) = 0, \, \epsilon_{01} \perp x_{310}(b) \quad \text{if } \, \epsilon_{01}[12] \in \mathfrak{B},$$

while from $n(x_2) = 0$ we get by linearization

(24)
$$\varepsilon_{10} \perp x_{201}(b) \quad \text{if } \varepsilon_{10}[12] \in \mathfrak{B},$$

(25)
$$\varepsilon_{01} \perp x_{210}(b) \quad \text{if } \varepsilon_{01}[12] \in \mathfrak{B}.$$

The case dim $\mathfrak{B} = 1$ is an immediate application of the Main Lemma:

$$\mathfrak{B}_1 = \Phi e_1.$$

Similarly, if dim $\mathfrak{B} = 2$ by Lemmas 3, 4 we may take

(27)
$$\mathfrak{B}_2 = \Phi e_1 + \Phi \epsilon_{11}[12].$$

Consider the case dim $\mathfrak{B}=3$; we may assume $\mathfrak{B}_3=\mathfrak{B}_2+\Phi b_2$ for \mathfrak{B}_2 as in (27), $b_2=x_2[12]+x_3[13]$. If we take $\alpha=\beta=0$, $a=x_{201}$ (as in (18)) then condition (16) is met (using (19), (20)) as long as $x_{201}\neq 0$, so by passing to an isotope we may assume $\mathfrak{B}_3=\Phi e_1+\mathfrak{C}_0[12]$ for \mathfrak{C}_0 a 2-dimensional totally isotropic subspace of \mathfrak{C} . If $x_{201}=0$ we take $\alpha=\beta=0$, $a=a_{01}$ in \mathfrak{C}_{01} with $a_{01}\perp x_{310}$, x_{210} (which is always possible). By Lemma 4 we may arrange it so that

(28)
$$\mathfrak{B}_3 = \Phi e_1 + (\Phi \varepsilon_{11} + \Phi \varepsilon_{10})[12]$$
 or $\mathfrak{B}_3 = \Phi e_1 + (\Phi \varepsilon_{11} + \Phi \varepsilon_{01})[12]$.

Write $\mathfrak{B}_4 = \mathfrak{B}_3 + \Phi b_3$ for \mathfrak{B}_3 as in (28), $b_3 = x_2[12] + x_3[13]$. In the first possibility of (28) we take $\alpha = \beta = 0$, $a = x_{201}$ and again obtain (16) using (24) for b_2 and as before for b_1 , b_3 (as long as $x_{201} \neq 0$; when $x_{201} = 0$ we take $\alpha = \beta = 0$, $a = a_{01} \in \mathfrak{C}_{01}$ where $a_{01} \perp \varepsilon_{10}$, x_{310} , x_{210} , which is possible since (22) implies $x_{310} \in \Phi \varepsilon_{10}$ because $y_{10}z_{10} = 0$ implies y_{10} , z_{10} in \mathfrak{C}_{10} are linearly dependent). Similarly, in the second possibility of (28) we may assume $x_3 \neq 0$ (or else $\mathfrak{B}_4 \subset \Phi e_1 + \mathfrak{C}[12]$ already), and $\alpha_{311} = 0$ by (23), so $x_{310} \neq 0$. Then (21) $x_{310}x_{210} = 0$ implies $x_{210} = -\beta x_{310}$ for some β , and if we take this β together with $\alpha = 0$, $a = \varepsilon_{00}$ we obtain (16) by (23), (25), and the definition of β . Thus in all cases we get $\mathfrak{B}_4 \subset \Phi e_1 + \mathfrak{C}[12]$, and by Lemma 4 again we can assume

(29)
$$\mathfrak{B}_{4} = \Phi e_{1} + (\Phi \epsilon_{11} + \Phi \epsilon_{10} + \Phi \eta_{10})[12] \quad \text{or} \\ \mathfrak{B}_{4} = \Phi e_{1} + (\Phi \epsilon_{11} + \Phi \epsilon_{01} + \Phi \eta_{01})[12].$$

Write $\mathfrak{B}_5=\mathfrak{B}_4+\Phi b_4$, $b_4=x_2[12]+x_3[13]$ as always. Consider the first case in (29). Linearizing (21) gives $x_{310}\varepsilon_{10}=x_{310}\eta_{10}=0$ ($x_{ijk}=x_{ijk}(b_4)$), so $x_{310}=0$. If $\alpha_{311}=0$ then $x_3=0$ and already $\mathfrak{B}_4\subset\Phi e_1+\mathfrak{C}[12]$. Otherwise (21) yields $\alpha_{311}x_{201}=0$ so $x_{201}=0$, $b_4=x_{210}[12]+\alpha_{311}\varepsilon_{11}[13]$, so (by subtracting off suitable multiples of $\varepsilon_{10}[12]$, $\eta_{10}[12]$) we can assume $b_4=-\beta\nu_{10}[12]+\varepsilon_{11}[13]$. If we take $\alpha=0$, $a=\nu_{01}$ then (16) is trivial for b_1 , b_2 , b_3 and holds for b_4 by definition of β and since $\nu_{10}\nu_{01}=\varepsilon_{11}$. Now consider the second case in (29). By (21) $\alpha_{311}=0$, $x_{310}\bot\varepsilon_{10}$ (and similarly $x_{310}\bot\eta_{10}$), so $x_{310}=\lambda\nu_{10}$. Similarly (25) implies $x_{210}=-\beta\nu_{10}$. If $\lambda=0$ then $x_3=0$ and by (17) $\mathfrak{B}_5\subset\Phi e_1+\mathfrak{C}[12]$, so we may assume $\lambda=1$. Then by (20) $x_{201}\bot\nu_{10}$, so $x_{201}\in\Phi\varepsilon_{01}+\Phi\eta_{01}$ and by subtracting off elements of \mathfrak{B}_4 we can assume $x_{201}=0$: $b_4=\nu_{10}[13]-\beta\nu_{10}[12]$. Taking $\alpha=0$, $a=\varepsilon_{00}$ we obtain (16) by definition of β . Thus in all cases we get $\mathfrak{B}_5\subset\Phi e_1+\mathfrak{C}[12]$ in some isotope, and as usual by Lemma 4 even

(30)
$$\mathfrak{B}_5 = \Phi e_1 + \mathfrak{C} \varepsilon_{11}[12] \quad \text{or} \quad \mathfrak{B}_5 = \Phi e_1 + \varepsilon_{11} \mathfrak{C}[12].$$

Finally, suppose $\mathfrak{B}_6 = \mathfrak{B}_5 + \Phi b$. If $b = x_2[12] + x_3[13]$ then x_2 together with the \mathfrak{F}_{12} -coefficients of \mathfrak{B}_5 form a totally isotropic subspace of \mathfrak{C} ; but the \mathfrak{F}_{12} -coefficients of \mathfrak{B}_5 already form a maximal such subspace $\mathfrak{C}\epsilon_{11}$ or $\epsilon_{11}\mathfrak{C}$, so by subtracting off an element of \mathfrak{B}_5 we can assume $b = x_3[13]$. If $\mathfrak{B}_5 = \Phi e_1 + \mathfrak{C}\epsilon_{11}[12]$ is of first kind then $\bar{x}_3(\mathfrak{C}\epsilon_{11}) = 0$ implies $x_3 = 0$. Thus $\mathfrak{B}_5 = \Phi e_1 + \mathfrak{C}\epsilon_{11}[12]$ is already maximal. If $\mathfrak{B}_5 = \Phi e_1 + \epsilon_{11}\mathfrak{C}[12]$ is of the second kind then $\bar{x}_3(\epsilon_{11}\mathfrak{C}) = 0$, and the only possible elements satisfying this are the $x_3 \in \Phi \epsilon_{11}$. Thus $\mathfrak{B}_6 = \Phi e_1 + \epsilon_{11}\mathfrak{C}[12] + \Phi \epsilon_{11}[13]$ and this is maximal. These are the two types of maximal point spaces.

This completes the proof of the main theorem.

REMARKS. In case (iii), \mathfrak{B} of capacity $m \ge 2$ we saw either $\mathfrak{B} = e^{(u)}\mathfrak{F}e^{(u)}$ in some isotope or $\mathfrak{B} = (e^{(u)} + \varepsilon f)\mathfrak{F}(e^{(u)} + \varepsilon f)$ for $e^{(u)}$, f symmetric idempotents and ε a primitive idempotent in \mathfrak{D} . In the first case, if $e^{(u)} = e_1 + \cdots + e_m$ we have dim $\mathfrak{B} = m \dim \mathfrak{D}_0 + (m(m-1)/2) \dim \mathfrak{D} = m + 2m(m-1) = m(2m-1)$, while in the second, if $f = e_n$ we have dim $\mathfrak{B} = m(2m-1) + 2m = m(2m+1)$. This shows that these latter \mathfrak{B} cannot be obtained from a symmetric idempotent in any isotope, $\mathfrak{B} \neq e^{(v)}\mathfrak{F}e^{(v)}$. Similar remarks apply in case (iii), m = 1.

We also remark that the arguments used for $\mathfrak{D} = \Delta \oplus \Delta^0$ and $\mathfrak{D} = \Delta$ also apply when the capacity of \mathfrak{F} is n = 2.

4. The algebras \mathfrak{A}^+ . In this section we consider the algebras \mathfrak{A}^+ for \mathfrak{A} a semi-simple Artinian algebra. It is more convenient to use regularity than semi-simplicity. Recall that in the Main Theorem we saw every inner ideal in $\mathfrak{A}^+ = \mathfrak{H}(\mathfrak{D}_n)$, $\mathfrak{D} = \Delta \oplus \Delta^0$ had the form $\mathfrak{B} = g^*\mathfrak{H}g$ in a suitable isotope. If g is idempotent in $\mathfrak{A}^{(u)}$, gug = g, then h = ug is idempotent in \mathfrak{A} and satisfies $\mathfrak{B} = h^*\mathfrak{H}h$. Furthermore, if we write $h = f \oplus e \in \mathfrak{A} \oplus \mathfrak{A}^0 = \mathfrak{A}$ then e, f are idempotent in \mathfrak{A} and under the isomorphism $\mathfrak{H}(\mathfrak{A} \oplus \mathfrak{A}^0) \to \mathfrak{A}^+$ given by $a \oplus a \to a$ we have $h^*(a \oplus a)h = (e \oplus f)(a \oplus a)(f \oplus e) = eaf \oplus eaf \to eaf$, so in \mathfrak{A}^+ each inner ideal has the form $\mathfrak{B} = e\mathfrak{A}f$. We now give a more direct proof of this.

THEOREM 1. If $\mathfrak A$ is a regular Artinian algebra then any inner ideal $\mathfrak B$ in $\mathfrak A^+$ has the form $\mathfrak B = e \mathfrak A f$ for idempotents e, f.

Proof. If $\mathfrak{A} = \bigoplus \mathfrak{A}_i$ is a direct sum of simple Artinian ideals, by regularity any inner ideal will have the form $\mathfrak{B} = \bigoplus \mathfrak{B}_i$ for \mathfrak{B}_i inner in \mathfrak{A}_i , so we may assume \mathfrak{A} itself is simple.

COROLLARY. An inner ideal $\mathfrak B$ in a regular Artinian algebra $\mathfrak A^+$ satisfies $\mathfrak B \mathfrak A \mathfrak B \subset \mathfrak B$ (in particular, it is a subalgebra), and it is the intersection of a left and a right ideal: $\mathfrak B = \mathfrak B \mathfrak A \cap \mathfrak A \mathfrak B$.

From this we see that not only does \mathfrak{A}^+ have the a.c.c. and d.c.c. on *all* inner ideals, we can actually get a bound on the length of any chain of inner ideals.

COROLLARY. A regular Artinian algebra has the a.c.c. and d.c.c. on all inner ideals. If $\mathfrak A$ has capacity n then any proper chain $\mathfrak A^+ = \mathfrak B_1 > \mathfrak B_2 > \cdots > \mathfrak B_{\tau} > 0$ of inner ideals has length $r \leq 2n-1$.

- **Proof.** We have decreasing chains $\mathfrak{U}\mathfrak{B}_i$, $\mathfrak{B}_i\mathfrak{V}$ of left and right ideals, so we may find decreasing chains $1 = e_1 \ge e_2 \ge \cdots \ge e_r \ge 0$ and $1 = f_1 \ge f_2 \ge \cdots \ge f_r \ge 0$ of nonzero idempotents with $\mathfrak{U}\mathfrak{B}_i = \mathfrak{A}_f$, $\mathfrak{B}_i\mathfrak{V} = e_i\mathfrak{V}$. Then $\mathfrak{B}_i = \mathfrak{B}_i\mathfrak{V} \cap \mathfrak{U}\mathfrak{B}_i = e_i\mathfrak{V} \cap \mathfrak{U}f_i = e_i\mathfrak{V}f_i$. Since $\mathfrak{B}_i > \mathfrak{B}_{i+1}$ we have either $e_i > e_{i+1}$ or $f_i > f_{i+1}$. If c(e) denotes the capacity (or rank) and we set $c(\mathfrak{B}_i) = c(e_i) + c(f_i)$ then $c(\mathfrak{B}_i) > c(\mathfrak{B}_{i+1})$. As $c(\mathfrak{B}_1) = c(1) + c(1) = 2n$ and $c(\mathfrak{B}_r) \ge 2$ and the capacity at the rth stage \mathfrak{B}_r is at most $c(\mathfrak{B}_1) (r-1)$, we must have $2n+1-r \ge 2$ or $2n-1 \ge r$.
- 5. The algebras $\mathfrak{S}(\mathfrak{A}, *)$. We begin by obtaining geometric representations of these algebras and verifying thereby that algebras of types IV and V in our classification cannot be isotopic.

First we consider algebras of the form $\mathfrak{F} = \mathfrak{F}(\Delta_n, \Delta_0, \gamma)$ where (i) Δ is an associative division algebra with involution $\delta \to \delta$, (ii) Δ_0 is an ample subspace (i.e. $\Delta_0 \subset \mathfrak{F}(\Delta, \overline{})$ contains 1 and $\delta \Delta_0 \delta \subset \Delta_0$ for all $\delta \in \Delta$ —this implies it contains all

norms $\delta\delta$ and traces $\delta+\delta$), and (iii) the γ_i are invertible elements of Δ_0 . \Im is an ample outer ideal in $\widetilde{\Im} = \mathfrak{D}(\mathfrak{A}, *)$ for $\mathfrak{A} = \Delta_n$ under the involution $x^* = \gamma^{-1} \bar{x}^t \gamma$, which consists of those *-symmetric matrices $x = (\alpha_{ij})$ whose diagonal entries α_{ii} lie in $\gamma_i^{-1}\Delta_0$. (A general *-symmetric matrix need only have $\alpha_{ii} = \gamma_i^{-1}\bar{\alpha}_{ii}\gamma_i$, i.e.

$$\gamma_i \alpha_{ii} = \bar{\alpha}_{ii} \bar{\gamma}_i = \overline{\gamma_i \alpha_{ii}}$$

is symmetric and $\alpha_{ii} \in \gamma_i^{-1} \mathfrak{H}(\Delta, ^-)$.)

Geometrically, $\mathfrak{A}=\operatorname{End}_{\Delta}\mathfrak{B}$ for \mathfrak{B} an *n*-dimensional right vector space over Δ with basis v_1,\ldots,v_n where the matrix $x=(\alpha_{ij})$ corresponds to the linear transformation $x(v_i)=\sum v_j\alpha_{ji}$. The involution * corresponds to the adjoint relative to the nondegenerate (and *nonalternate*) Hermitian form $\langle v,w\rangle$ on \mathfrak{B} defined by $\langle v_i,v_i\rangle=\gamma_i, \langle v_i,v_j\rangle=0$ $(i\neq j)$; necessarily all "quadratic values" $\langle v,v\rangle$ lie in Δ_0 . Here \mathfrak{F} corresponds to the algebra $\mathfrak{F}(\langle\ ,\ \rangle,\Delta_0)$ of those selfadjoint transformations x such that the values $\langle v,x(v)\rangle$ still lie in Δ_0 . Conversely, any nondegenerate and *nonalternate* Hermitian form on \mathfrak{F} can be diagonalized, and relative to an orthogonal basis with $\langle v_i,v_i\rangle=\gamma_i$ we have a *-isomorphism of $(\operatorname{End}_{\Delta}\mathfrak{F},*)$ with $(\Delta_n,*(\gamma))$; if the γ_i belong to an ample subspace Δ_0 we get an isomorphism of $\mathfrak{F}(\langle\ ,\ \rangle,\Delta_0)$ with the matrix algebra $\mathfrak{F}(\Delta_n,\Delta_0,\gamma)$ since the condition $\langle v_i,x(v_i)\rangle\in\Delta_0$, $\langle v_i,x(v_j)\rangle+\langle v_j,x(v_i)\rangle\in\Delta_0$ that $x=x^*$ belong to $\mathfrak{F}(\langle\ ,\ \rangle,\Delta_0)$ reduces to $\gamma_i\alpha_{ij}\in\Delta_0$, $\gamma_i\alpha_{ij}+\gamma_j\alpha_{ji}\in\Delta_0$. But the latter is a trace,

$$\gamma_i\alpha_{ij}+\gamma_j\alpha_{ji}=\gamma_i\alpha_{ij}+\gamma_j(\gamma_j^{-1}\bar{\alpha}_{ij}\gamma_i)=\gamma_i\alpha_{ij}+\overline{\gamma_i\alpha_{ij}},$$

and hence automatically belongs to Δ_0 , so the only condition is that $\alpha_{ii} \in \gamma_i^{-1} \Delta_0$. Thus we may represent the abstract Jordan algebra $\mathfrak{F}(\Delta_n, \Delta_0, \gamma)$ of matrices or as an algebra $\mathfrak{F}(\langle \cdot, \cdot \rangle, \Delta_0)$ of linear transformations.

The other kind of algebra we must consider is $\mathfrak{F} = \mathfrak{S}(\mathfrak{Q}_n, \mathfrak{Q}_0, \gamma)$ for \mathfrak{Q} a split quaternion algebra, $\mathfrak{Q}_0 = \Phi$ the center of \mathfrak{Q} . In this case we may choose a different coordinatization so that $\gamma = 1$, and $\mathfrak{F} = \mathfrak{S}(\mathfrak{Q}_n, \Phi)$. (Note that in characteristic $\neq 2$ $\mathfrak{Q}_0 = \Phi = \mathfrak{S}(\mathfrak{Q})$ consists of all symmetric elements of \mathfrak{Q} under the standard involution, but in characteristic $2 \mathfrak{Q}_0 = \Phi$ is a proper ample subspace of \mathfrak{Q} .) Since \mathfrak{Q} is split we have $\mathfrak{Q} = \Phi_2$, and we have a *-isomorphism of $(\mathfrak{Q}_n, *)$ with $(\Phi_{2n}, *(s))$ for *(s) the canonical involution $x^{*(s)} = s^{-1}\bar{x}^t s$ determined by the standard symplectic $(2n) \times (2n)$ matrix

$$s = \begin{pmatrix} 0 & I_n \\ -I_n & 0 \end{pmatrix}$$

where the mapping $\mathfrak{Q}_n \to \Phi_{2n}$ sends $\epsilon_{ij}e_{kl} \to e_{k+in,l+jn}$ for ϵ_{ij} $(0 \le i, j \le 1)$ and e_{kl} $(1 \le k, l \le n)$ matrix units for \mathfrak{Q} and Φ_n respectively. Thus $\mathfrak{H}(\mathfrak{Q}_n)$ is isomorphic to $\mathfrak{H}(\Phi_{2n}, *(s))$. The elements of $\mathfrak{H}(\mathfrak{Q}_n, \Phi)$ are precisely those *-symmetric matrices with diagonal entries in which ϵ_{01} , ϵ_{10} do not appear, and since $\epsilon_{01}e_{ii} \to e_{i,i+n}$, $\epsilon_{10}e_{ii} \to e_{i+n,i}$ this corresponds to those matrices $x = (\alpha_{ij})$ in $\mathfrak{H}(\Phi_{2n}, *(s))$ in which $e_{i,i+n}$ and $e_{i+n,i}$ do not appear: $\alpha_{i,i+n} = \alpha_{i+n,i} = 0$. We denote this algebra by $\mathfrak{H}(\Phi_{2n}, 0, *(s))$ (in characteristic $\ne 2$ it coincides with $\mathfrak{H}(\Phi_{2n}, *(s))$).

To interpret this geometrically we introduce a 2n-dimensional vector space $\mathfrak B$ with basis v_1,\ldots,v_{2n} over Φ and a nondegenerate alternate bilinear form $\langle v,w\rangle$ on $\mathfrak B$ with $\langle v_i,v_{i+n}\rangle=-\langle v_{i+n},v_i\rangle=1$ $(1\leq i\leq n)$ and all other products zero. Then $(\Phi_{2n},*(s))$ is *-isomorphic to $(\mathfrak A,*)$ for $\mathfrak A=\operatorname{End}_{\Phi}\mathfrak B$ and * the adjoint relative to the form $\langle v,w\rangle$. Under this isomorphism the elements of $\mathfrak B(\Phi_{2n},0,*(s))$ correspond to the alternate transformations $x,\langle v,x(v)\rangle=0$ for all $v\in\mathfrak B$ (in analogy with the situation in $\mathfrak B(\Delta_n,\Delta_0,\gamma)$). Indeed, a transformation x with matrix (α_{ij}) is alternate if and only if $\langle v_i,x(v_i)\rangle=\langle v_i,x(v_j)\rangle+\langle v_j,x(v_i)\rangle=0$ or

$$\alpha_{i,i+n} = \alpha_{i+n,i} = \alpha_{i+n,j} + \alpha_{j+n,i} = \alpha_{i,j+n} + \alpha_{j,i+n}$$

= $\alpha_{i,j} - \alpha_{j+n,i+n} = 0$ $(1 \le i, j \le n)$

which is just the condition that (α_{ij}) belong to $\mathfrak{H}(\Phi_{2n}, 0, *(s))$. We denote the (Jordan) algebra of such transformations by Alt (\langle , \rangle). Conversely, given any nondegenerate alternate form $\langle v, w \rangle$ on \mathfrak{B} we can find a symplectic basis v_1, \ldots, v_{2n} , and such a basis determines an isomorphism of Alt (\langle , \rangle) with $\mathfrak{H}(\Phi_{2n}, 0, *(s))$. Thus we have isomorphisms

$$\mathfrak{F} \cong \mathfrak{H}(\mathfrak{Q}_n, \Phi) \cong \mathfrak{H}(\Phi_{2n}, 0, *(s)) \cong \operatorname{Alt}(\langle , \rangle).$$

Let us make one more remark about Alt (\langle , \rangle). We claim it is uniquely determined by $\mathfrak{A} = \operatorname{End}_{\Phi} \mathfrak{B}$ and the involution *, not by how we represent \mathfrak{A} and * in terms of \mathfrak{B} and \langle , \rangle . Indeed, Alt (\langle , \rangle) is the unique minimal ample outer ideal in $\mathfrak{D}(\mathfrak{A}, *)$ and consists of all traces t(a) = a + a* for $a \in \mathfrak{A}$. If Alt ($\mathfrak{A}, *$) denotes the set of traces, it forms a subspace of $\mathfrak{D}(\mathfrak{A}, *)$ containing $1 = \sum_{1}^{n} (e_{ii} + e_{i+n,i+n}) = \sum_{1}^{n} (e_{ii} + e_{ii}^*) = t(\sum_{1}^{n} e_{ii})$ and closed under outer multiplication $bt(a)b^* = bab^* + ba^*b^* = t(bab^*)$, hence is an ample outer ideal. As any ample outer ideal contains norms and traces, Alt ($\mathfrak{A}, *$) is the unique minimal one. But it contains Alt (\langle , \rangle) since any alternate $x = (\alpha_{ij}) = \sum_{i} \alpha_{ij} e_{ij}$ satisfying the above relations on the coefficients α_{ij} is a trace x = t(a) for

$$a = \sum_{i,j} \alpha_{ij} e_{ij} + \sum_{i < j} \alpha_{i,j+n} e_{i,j+n} + \sum_{i < j} \alpha_{i+n,j} e_{i+n,j} \qquad (1 \le i, j \le n).$$

Thus Alt $(\langle , \rangle) = Alt (\mathfrak{A}, *)$.

We now consider isotopes of the algebras $\mathfrak{H}(\Delta_n, \Delta_0, \gamma)$ and $\mathfrak{H}(\mathfrak{L}_n, \Phi)$. We use the geometric representation of these as $\mathfrak{H}(\langle \ , \ \rangle, \Delta_0)$ and Alt $(\langle \ , \ \rangle)$ for $\langle v, w \rangle$ a Hermitian or alternate bilinear form on a vector space \mathfrak{B} . In either case the algebra is an ample outer ideal in $\mathfrak{H}(\mathfrak{A}, *)$ for $\mathfrak{A} = \operatorname{End} \mathfrak{B}$ and * the adjoint relative to the bilinear form. If $u=u^*$ is invertible in \mathfrak{A} then the map $\varphi(x)=xu$ affords a *-isomorphism of $(\mathfrak{A}^{(u)}, *)$ with $(\mathfrak{A}, *(u))$ for $x^{*(u)}=u^{-1}x^*u$ ($u^*=u$ guarantees * remains an involution on the associative isotope $\mathfrak{A}^{(u)}$). In fact, $\varphi(x \circ_u y)=\varphi(xuy)=xuyu=\varphi(x)\varphi(y)$ so $\mathfrak{A}^{(u)}\to\mathfrak{A}$ is an algebra isomorphism, and $\varphi(x^*)=x^*u=u^{-1}u^*x^*u=u^{-1}(xu)^*u=\varphi(x)^{*(u)}$ so it is a *-isomorphism. This induces an isomorphism of $\mathfrak{H}(\mathfrak{A}, *)^{(u)}$ with $\mathfrak{H}(\mathfrak{A}, *(u))$. If * is the transpose relative to a non-

degenerate form $\langle v, w \rangle$ on $\mathfrak B$ then *(u) is the transpose relative to $\langle v, w \rangle^{(u)} = \langle v, u(w) \rangle$ since

$$\langle v, x(w) \rangle^{(u)} = \langle v, u(x(w)) \rangle = \langle x^*u(v), w \rangle$$

= $\langle u^{-1}x^*u(v), u(w) \rangle = \langle x^{*(u)}(v), w \rangle^{(u)}$.

Here $\langle v, w \rangle^{(u)}$ is nondegenerate if $\langle v, w \rangle$ is because u is invertible. If $\langle v, w \rangle$ is Hermitian or skew-symmetric so is $\langle v, w \rangle^{(u)}$ since $u^* = u$. If the values $\langle v, v \rangle$ all lie in Δ_0 and $u \in \mathfrak{F}(\langle \cdot, \cdot \rangle, \Delta_0)$ then the values $\langle v, v \rangle^{(u)} = \langle v, u(v) \rangle$ still lie in Δ_0 , and if $\langle v, w \rangle$ is alternate and $u \in Alt(\langle \cdot, \cdot \rangle)$ then $\langle v, w \rangle^{(u)}$ will again be alternate. If $\langle v, w \rangle$ is Hermitian but nonalternate then $\langle v, w \rangle^{(u)}$ will again be nonalternate unless Δ is a field of characteristic 2 with identity involution, \mathfrak{B} is even dimensional, and u is an alternate transformation. (It is well known that an alternate sesquilinear form must have Δ a field with identity involution, and if it is also Hermitian then Δ must have characteristic 2.)

We say that an involution on $\mathfrak{A} = \operatorname{End} \mathfrak{B}$ is a *diagonal* involution if it can be represented as the adjoint relative to a nondegenerate nonalternate Hermitian form, and *symplectic* or *alternate* if it can be represented as the adjoint relative to a nondegenerate alternate form. Thus a diagonal involution may have an isotope which is alternate (witness the s-isotope of the transpose involution on Φ_{2n} in characteristic 2). However, even if $\langle v, w \rangle^{(u)}$ is alternate the algebra $\mathfrak{H}(\langle , \rangle, \lambda_0)^{(u)} = \mathfrak{H}(\langle , \rangle^{(u)}, \lambda_0)$ is not the same as Alt $(\langle , \rangle^{(u)}) = \mathfrak{H}(\langle , \rangle^{(u)}, \lambda_0)$ since the former contains some nonalternate transformations.

In the Main Theorem we saw that for Artinian $\mathfrak A$ with diagonal involution every inner ideal was principal, $\mathfrak B=b\mathfrak Bb$, and in a suitable isotope $\mathfrak B=e^{(u)}\mathfrak Fe^{(u)}$. As before, the fact that $e^{(u)}$ is idempotent in $\mathfrak F^{(u)}$, $e^{(u)}=e^{(u)}ue^{(u)}$, implies $e=ue^{(u)}$ is idempotent in $\mathfrak A$ itself and $e^*\mathfrak Fe=e^{(u)}u\mathfrak Fue^{(u)}=e^{(u)}\mathfrak Fe^{(u)}=\mathfrak B$. Thus we actually have $\mathfrak B=e^*\mathfrak Fe$ for some idempotent in $\mathfrak A$ (not necessarily in $\mathfrak F=\mathfrak F(\mathfrak A,*)$).

THEOREM 2. Every inner ideal of $\mathfrak{F} = \mathfrak{F}(\Delta_n, \Delta_0, \gamma)$ is principal, $\mathfrak{B} = b\mathfrak{F}b$. An alternate description is that $\mathfrak{B} = e^*\mathfrak{F}e$ for some idempotent e in Δ_n .

Proof. We use the geometric representation $\mathfrak{F} = \mathfrak{F}(\langle , \rangle, \Delta_0)$. Say that a transformation x is *alternate* if $\langle v, x(v) \rangle = 0$ for all v. We need a

LEMMA 5. If $\langle v, w \rangle$ is a nonalternate Hermitian form on $\mathfrak B$ and $\mathfrak J$ an ample outer ideal in $\mathfrak S(\mathfrak A, *)$ then the only element $x \in \mathfrak A$ such that all elements of $x*\mathfrak J x$ are alternate, $\langle v, x*\mathfrak J x(v) \rangle = 0$ for all v, is x = 0. In particular, $x*\mathfrak J x = 0$ implies x = 0.

Proof. For any $a \in \mathfrak{A}$ the element a^*a belongs to \mathfrak{F} , so $0 = \langle v, x^*a^*ax(v) \rangle = \langle ax(v), ax(v) \rangle$. If $x \neq 0$ some $x(v) \neq 0$, in which case for any $w \in \mathfrak{B}$ there is $a \in \mathfrak{A}$ sending x(v) to w, a(x(v)) = w. But this would imply $\langle w, w \rangle = 0$ for all w, contradicting our assumption that the form is nonalternate. Thus we must have x = 0.

Returning to the proof of the theorem, the Lemma guarantees that any inner ideal $\mathfrak{B} \neq 0$ contains nonalternate elements (if $b \neq 0$, taking x = b gives such elements in $b\mathfrak{F}b \subset \mathfrak{B}$).

Among the principal inner ideals $b\Im b$ contained in $\mathfrak B$ for b nonalternate, choose a maximal one (by Propositions 4, 6 \Im has the a.c.c. and d.c.c. on principal inner ideals). By regularity (Propositions 4, 6 again) b=bdb, so e=db and $e^*=bd$ are idempotents in $\mathfrak A$ (not necessarily in $\mathfrak A$), and $e^*\Im e=bd\Im db \subset b\Im b$. Moreover, we have equality $b\Im b=e^*\Im e$ since $b\Im b=e^*b\Im be\subset e^*\Im e$. We will show that $\mathfrak B=e^*\Im e=b\Im b$.

For any $c \in \mathfrak{B}$ we have $e^*c + ce = bdc + cdb = \{b \ d \ c\} \in \mathfrak{B}$, hence for e' = 1 - e also $e'^*ce' = (1 - e^*)c(1 - e) = c - (e^*c + ce) + e^*ce \in \mathfrak{B}$ so $e'^*\mathfrak{B}e' \subseteq \mathfrak{B}$. We claim $e'^*\mathfrak{B}e' = 0$. If $c \in e'^*\mathfrak{B}e'$ were nonzero then the element $b' = b + c \in \mathfrak{B}$ would be nonalternate since $\langle ev, b'e(v) \rangle = \langle v, e^*b'e(v) \rangle = \langle v, b(v) \rangle \neq 0$ by choice of b (note $b = e^*b = be$, $e^*c = ce = 0$). The principal inner ideal $b'\mathfrak{F}b' \subseteq \mathfrak{B}$ contains $b'e\mathfrak{F}e^*b' = b\mathfrak{F}b$ and also $b'e'\mathfrak{F}e'^*b' = c\mathfrak{F}c$, which by regularity contains $c \notin b\mathfrak{F}b = e^*\mathfrak{F}e$, so $b'\mathfrak{F}b' > b\mathfrak{F}b$ contradicts the maximality of $b\mathfrak{F}b$. Having shown $e'^*\mathfrak{B}e' = 0$ we see $e'^*(ce\mathfrak{F}e^*c)e' = 0$ for any $c \in \mathfrak{B}$, and by the lemma $x^*\mathfrak{F}x = 0$ for $x = e^*ce'$ implies x = 0. Also $x^* = 0$, so $e^*ce' = e'^*ce = 0$. This shows that for any $c \in \mathfrak{B}$ we have $c = (e^* + e'^*)c(e + e') = e^*ce$ and hence $\mathfrak{B}=e^*\mathfrak{F}e$.

Rephrasing this in abstract terms,

THEOREM 3. If $\mathfrak A$ is a regular Artinian algebra with diagonal involution, and $\mathfrak F$ is an ample outer ideal in $\mathfrak S(\mathfrak A,*)$, then any inner ideal $\mathfrak B$ in $\mathfrak F$ is principal: $\mathfrak B=b\mathfrak Fb$. We can also express $\mathfrak B$ as $\mathfrak B=e^*\mathfrak Fe$ for some idempotent $e\in \mathfrak A$.

COROLLARY. Any inner ideal in \mathfrak{F} is the intersection $\mathfrak{B} = \mathfrak{F} \cap \widetilde{\mathfrak{B}}$ for $\widetilde{\mathfrak{B}}$ an inner ideal in \mathfrak{A} . If b, c are in \mathfrak{B} then $bac + ca^*b \in \mathfrak{B}$ for any $a \in \mathfrak{A}$.

COROLLARY. If $\mathfrak A$ is a regular Artinian algebra with diagonal involution, then any ample outer ideal $\mathfrak F$ in $\mathfrak F(\mathfrak A,*)$ has the a.c.c. and d.c.c. on all inner ideals. If $\mathfrak A$ has capacity n then any proper chain $\mathfrak F=\mathfrak B_1>\mathfrak B_2>\cdots>\mathfrak B_r>0$ of inner ideals in $\mathfrak F$ has length $r\le n$.

Proof. If we are given inner ideals $\mathfrak{B} = e^*\mathfrak{F}e^{\supset}f^*\mathfrak{F} = \mathfrak{C}$ we can renormalize so that $e \supseteq f$: we have $\mathfrak{C} = c\mathfrak{F}c$ for some c, and regularity $c = cdc \in \mathfrak{C} \subseteq e^*\mathfrak{F}e$ implies $e^*c = ce = c$, so if we set g = edc we have $g^2 = edcedc = edcdc = edc = g$, $g^*\mathfrak{F}g = cde^*\mathfrak{F}edc \subseteq c\mathfrak{F}c = \mathfrak{C}$, and $\mathfrak{C} = c\mathfrak{F}c = cd(c\mathfrak{F}c)dc \subseteq cd\mathfrak{F}dc = cde^*\mathfrak{F}edc = g^*\mathfrak{F}g$, so that $\mathfrak{C} = g^*\mathfrak{F}g$ where the idempotent g has $e \supseteq g$ since $ege = e^2dce = edc = g$.

Given $\Im = \Im_1 > \cdots > \Im_r > 0$ we can write $\Im_i = e_i^* \Im e_i$ for $1 = e_1 > e_2 > \cdots > e_r > 0$ and hence $r \le n$.

Turning to the case of alternate involutions, since $\mathfrak{F} = \mathfrak{F}(\Phi_{2n}, *(s))$ is finite dimensional over its center Φ , by Proposition 2 all inner ideals are Φ -subspaces and we have the a.c.c. and d.c.c.

THEOREM 4. If $\mathfrak A$ is a regular Artinian algebra with alternate involution, then $\mathfrak F=\mathrm{Alt}(\mathfrak A,*)$ has the a.c.c. and d.c.c. on all inner ideals.

We saw in the Main Theorem that the inner ideals were either point spaces or had the form $e^{(u)} \Re e^{(u)}$ in some isotope, hence as before the form $e^*\Re e$ for e idempotent in \mathfrak{A} . We give a variant proof.

Theorem 5. If $\mathfrak A$ is a regular Artinian algebra with alternate involution, then any inner ideal $\mathfrak B$ in $\mathfrak F=\mathrm{Alt}(\mathfrak A,*)$ is either a point space or of the form $e^*\mathfrak Fe$. A maximal point space either has the form $\mathfrak B=(e+f)^*\mathfrak F(e+f)$ or $\mathfrak B=e^*\mathfrak Fe+t(f\mathfrak Ae')$ for e a rank 2 idempotent, f a rank 1 idempotent satisfying ef=fe=0 or $e^*f=fe^*=f$ respectively.

Proof. We begin as in the case of a diagonal involution, choosing a maximal principal inner ideal $b\Im b \subset \mathfrak{B}$; if \mathfrak{B} is not a point space we can assume $b\Im b \neq \Phi b$, otherwise assume \mathfrak{B} is a *maximal* point space. We have $b\Im b = e^*\Im e$ for e = db, $e^* = bd$ where b = bdb, and $e'^*\Im e' = 0$ as before. We also obtain $e'^*ce\Im e^*ce' = 0$ for any $c \in \mathfrak{B}$; however, unlike the diagonal case, $x^*\Im x = 0$ does not imply x = 0.

LEMMA 6. If $\langle v, w \rangle$ is a nondegenerate alternate form on \mathfrak{B} and $\langle \mathfrak{F}v, w \rangle = 0$ for $v, w \neq 0, \ \mathfrak{F} = \mathrm{Alt}(\langle \ , \ \rangle)$, then $w \in \Phi v$. Consequently $\mathfrak{F}v = v^{\perp}$ for any $v \neq 0$, while if \mathfrak{B} is a subspace of dimension > 1 then $\mathfrak{FB} = \mathfrak{B}$. A transformation x satisfies $x^*\mathfrak{F}x = 0$ if and only if x has rank ≤ 1 .

Proof. Since \Im contains all $a+a^*$ for $a \in \Im = \operatorname{End} \Im$, if w were independent of v we could find $a \in \Im$ with a(w)=0 but $\langle a(v), w \rangle \neq 0$. But then $\langle a(v), w \rangle = \langle a(v), w \rangle + \langle v, a(w) \rangle = \langle (a+a^*)v, w \rangle = 0$, a contradiction. Thus w must lie in Φv . From this we see $(\Im v)^{\perp} = \Phi v$ if $v \neq 0$, hence $\Im v = (\Im v)^{\perp} = v^{\perp}$. If \Im has dimension > 1 then $\Im \Im = \sum_{w \in \Im} \Im w = \sum_{w \in \Im} w^{\perp} = \Im$ (any w^{\perp} has codimension 1, and if $w_1^{\perp} = w_2^{\perp}$ then $w_1 \in \Phi w_2$ so two independent w_1^{\perp} will span \Im). If $x * \Im x = 0$ then $0 = \langle x * \Im x(\Im), \Im v \rangle = \langle \Im x(\Im), x(\Im) \rangle$ implies $\Im x(\Im) \neq \Im$, so by the above $x(\Im)$ has dimension ≤ 1 .

Returning to the proof of the theorem, this says $x=e^*ce'$ has rank ≤ 1 for all $c \in \mathfrak{B}$. If x=0 then $e^*ce'=e'^*ce=e'^*ce'=0$ for all c, $c=e^*ce$, and $\mathfrak{B}=e^*\mathfrak{F}e=b\mathfrak{F}b$ so we are done. Thus we may assume some $c_{10}=e^*ce'\neq 0$; by the lemma $c_{10}(\mathfrak{B})=\Phi v_0$ is 1-dimensional. If $c'_{10}=e^*c'e'$ for some other $c' \in \mathfrak{B}$ then

$$0 = e'^* \{c'(e \Im e^*)c + c(e \Im e^*)c'\}e' = c'^*_{10}\Im c_{10} + c^*_{10}\Im c'_{10}.$$

Choose $v \in \mathfrak{V}$ so $c_{10}(v) = v_0$; for any $w \in \text{Ker } c_{10}$ we have

$$0 = \langle \{c_{10}^{\prime *} \Im c_{10} + c_{10}^{*} \Im c_{10}^{\prime} \} v, w \rangle = \langle \Im c_{10}(v), c_{10}^{\prime}(w) \rangle$$

so by the lemma $c'_{10}(w) \in \Phi c_{10}(v) = \Phi v_0$. Either $c'_{10}(w) = 0$ for all $w \in \operatorname{Ker} c_{10}$, in which case $\operatorname{Ker} c_{10} \subset \operatorname{Ker} c'_{10}$ and therefore $\operatorname{Ker} c'_{10} = \operatorname{Ker} c_{10}$ since both have codimension 1, or else some $c'_{10}(w) \neq 0$, in which case Range $c'_{10} = \Phi c'_{10}(w) \subset \operatorname{Range} c_{10}$ implies Range $c'_{10} = \operatorname{Range} c_{10}$ since both have dimension 1. As usual, this linear choice for each c'_{10} implies either $\operatorname{Ker} c'_{10} = \operatorname{Ker} c_{10}$ for all c'_{10} or else Range $c'_{10} = \operatorname{Range} c_{10}$ for all c'_{10} . These two cases lead to two kinds of inner ideals. Consider the case when all c'_{10} have the same kernel. If $c'_{10}wc_{10} = c_{10}$ by regularity

 $(w=e'we^*)$ then $f=wc_{10}$ is an idempotent orthogonal to e(e'f=fe'=f) and of rank 1; then f^* is also of rank 1, so by the lemma

$$f\Im f^* = f^*\Im f = 0.$$

Furthermore, $\operatorname{Ker} f = \operatorname{Ker} c_{10}$: $\operatorname{Ker} f \supset \operatorname{Ker} c_{10}$ and they both have codimension 1. Thus for any $c' \in \mathfrak{B}$ we have $c'_{10} = c'_{10} f(c'_{10} = e^* ce')$; as $e'^* ce' = 0$ we see $c' = e^* ce + e^* ce' + e'^* ce = e^* ce + t(e^* ce') = e^* ce + t(e^* cf)$,

$$\mathfrak{B} \subset \mathfrak{B}' = e^*\mathfrak{F}e + t(e^*\mathfrak{F}f).$$

Now B' has the form

$$\mathfrak{B}' = (e+f) * \mathfrak{J}(e+f)$$

since (e+f)*x(e+f) = e*xe + (e*xf + f*xe) = e*xe + t(e*xf) using $f*\Im f = 0$. In particular, \mathfrak{B}' is automatically an inner ideal. We show that if \mathfrak{B} is not a point space then $\mathfrak{B} = \mathfrak{B}'$, while if \mathfrak{B} is a point space so is \mathfrak{B}' and therefore by maximality $\mathfrak{B} = \mathfrak{B}'$ again.

To show $\mathfrak{B}=\mathfrak{B}'$ it suffices to show $\mathfrak{B}_{10}=\mathfrak{B}'_{10}$, where $\mathfrak{B}'_{10}=e^*\mathfrak{B}'e'=e^*\mathfrak{F}f$. Any transformation $x_{10}=e^*xf\in e^*\mathfrak{F}f$ vanishes on $\ker f=\ker c_{10}$, as do all $c'_{10}\in e^*\mathfrak{B}e'$, so it suffices to find $c'_{10}\in\mathfrak{B}_{10}$ with $c'_{10}(v)=x_{10}(v)$ (recall $c_{10}(v)=v_0$ so $\mathfrak{B}=\Phi v$ + $\ker c_{10}$) since then $c'_{10}=x_{10}$ on all of \mathfrak{B} . As $x_{10}(v)\in e^*\mathfrak{B}$ it is enough if $e^*\mathfrak{B}e'(\mathfrak{B})=e^*(\mathfrak{B})$. For any $y,z\in\mathfrak{F}$ we have $c'=\{e^*ye\ z\ c\}\in\{\mathfrak{B}\ \mathfrak{F}\ \mathfrak{F}\}\subset\mathfrak{B}$, and $c'_{10}(v)=e^*ye)zc_{10}(v)=e^*yez(v_0)$ because $ee'=e'^*ce'=0$. Thus we hope $e^*(\mathfrak{B})=e^*\mathfrak{F}e\mathfrak{F}(v_0)=e^*\mathfrak{F}e\mathfrak{F}(v_0)$ is 1-dimensional, $e(v_0^1)=\Phi u_0$, in which case $e^*\mathfrak{F}e(v_0^1)=e^*\mathfrak{F}u_0=e^*(u_0^1)$. Now $w\in u_0^1\Leftrightarrow e^*w\in v_0^{11}=\Phi v_0$, so $u_0^1=e'^*(\mathfrak{B})+\Phi v_0$. Thus $(e^*\mathfrak{F}e)u_0=e^*\{e'^*(\mathfrak{B})+\Phi v_0\}=\Phi v_0$. Note $b(u_0)=\lambda v_0$ where $\lambda\neq 0$ since $u_0=e(u_0)=db(u_0)$. For any $v=e^*ve\in e^*\mathfrak{F}e$ we may (by subtracting off a suitable multiple of b) assume $v(u_0)=0$. But then $v(\mathfrak{B})\subset u_0^1$, $v(\mathfrak{B})=e^*v(\mathfrak{B})\subset e^*(u_0^1)=\Phi v_0$, while we saw that if v has rank 1 then $v(\mathfrak{F}v)=v^*\mathfrak{F}v=0$ and by regularity v=0. Thus $v\in e^*\mathfrak{F}e$ implies $v\in \Phi b$, so that if $v\in \mathfrak{F}e$ we must have $v\in \mathfrak{F}e\mathfrak{F}(v_0)=e^*\mathfrak{F}e$ and $v\in \mathfrak{F}e$ and

Now consider what happens if \mathfrak{B} is a maximal point space, $b\mathfrak{F}b = \Phi b$. Any element of \mathfrak{B}' may be written as $b' = \lambda b + t(e^*xf)$ for some $\lambda \in \Phi$ and $x \in \mathfrak{F}$. If $y \in \mathfrak{F}$ then $byb = \alpha b$ for some α , hence $bye^* = bybd = \alpha bd = \alpha e^*$; also $e^*xfyb = b\{dxfy\}b \in b\mathfrak{A}b$ implies $t(e^*xfyb) = \beta b$ for some β , hence

$$e^*xfye^*xf = \{e^*xfyb + byf^*xe\}dxf$$

(recall $f*\Im f=0$) = $\beta b dx f = \beta e^* x f$. If we combine these and note $at(z) + t(z)a^* = t(az) + t(za^*)$ we get

$$b'yb' = \lambda^2 byb + \lambda t(bye^*xf) + \lambda t(e^*xfyb) + t(e^*xfye^*xf)$$
$$= \lambda^2 \alpha b + \lambda \alpha t(e^*xf) + \lambda \beta b + \beta t(e^*xf)$$
$$= \{\lambda \alpha + \beta\}b'$$

so that b' is a point and \mathfrak{B}' a point space.

The remaining case is where all c'_{10} have the same range, Range c'_{10} = Range $f = \Phi v_0$ for $f = c_{10}w$ (w as before). Here again f is an idempotent of rank 1, but now $e^*f = fe^* = f$. In this case $c'_{10} = fc'_{10}$, so

$$\mathfrak{B} \subset \mathfrak{B}' = e^*\mathfrak{F}e + t(f\mathfrak{F}e').$$

We claim necessarily \mathfrak{B} is a point space in this case, $b\mathfrak{F}b = \Phi b$: we saw $e^*\mathfrak{F}e\mathfrak{F}(v_0) \subset \mathfrak{B}_{10}(v) \subset f\mathfrak{F}e'(v) = \Phi v_0$, and $e^*\mathfrak{F}e\mathfrak{F}(v_0) = e^*(\mathfrak{B})$ ($\neq \Phi v_0$ since e has rank >1) unless $b\mathfrak{F}b = \Phi b$. Thus \mathfrak{B} is a point space. We claim \mathfrak{B}' is too, whence $\mathfrak{B} = \mathfrak{B}'$. Again writing $b' = \lambda b + t(fxe')$ we argue as before to get $b'yb' = \{\lambda \alpha + \beta\}b'$ for any $y \in \mathfrak{F}$ where $byb = \alpha b$ (hence $byf = bye*f = bybdf = \alpha f$) and $t(fxe'yb) = \beta b$ (hence $fxe'yf = \beta f$).

6. The algebras $\mathfrak{F}(Q, c)$. For algebras determined by quadratic forms, degenerate or not, we have the easy

THEOREM 6. The inner ideals in a Jordan algebra $\mathfrak{F}(Q, c)$ for a quadratic form Q on a vector space \mathfrak{X} over a field are precisely the totally isotropic subspaces of \mathfrak{X} (together with \mathfrak{X} itself).

Proof. If \mathfrak{B} is a totally isotropic subspace of \mathfrak{X} then $U_{\mathfrak{B}}\mathfrak{J} = Q(\mathfrak{B}, \mathfrak{J}^*)\mathfrak{B} - Q(\mathfrak{B})\mathfrak{J}^* = Q(\mathfrak{B}, \mathfrak{J}^*)\mathfrak{B} \subset \mathfrak{B}$ and \mathfrak{B} is an inner ideal.

Conversely, if \mathfrak{B} is a proper inner ideal it contains no invertible elements; since $x \in \mathfrak{F}(Q, c)$ is invertible if and only if $Q(x) \neq 0$, we see $Q(\mathfrak{B}) = 0$ and \mathfrak{B} is totally isotropic.

If Q is nondegenerate then $\Re(Q, c)$ is regular: clearly $x \in U_x \Im = \Im$ if x is invertible, and if Q(x) = 0 then $Q(x, \Im) \neq 0$ by nondegeneracy so Q(x, y) = 1 for some y and $U_x y^* = Q(x, y) x = x$. Thus the principal inner ideal $U_x \Im$ is either \Im or Φx .

COROLLARY. If Q is nondegenerate then $\mathfrak{F}(Q,c)$ has the a.c.c. and d.c.c. on principal inner ideals. It has the a.c.c. and d.c.c. on all inner ideals if and only if there are no infinite-dimensional totally isotropic subspaces.

To see the last statement, if \mathfrak{B} is infinite dimensional and totally isotropic with basis x_1, x_2, \ldots then the $\mathfrak{B}'_i = \{x_i, x_{i+1}, \ldots\}$ form a properly descending sequence of inner ideals and the $\mathfrak{B}''_i = \{x_1, \ldots, x_i\}$ a proper increasing sequence. Conversely, if the \mathfrak{B}_i form a properly increasing (or decreasing) sequence of inner ideals the dimensions must be increasing (or decreasing), so $\mathfrak{B} = \bigcup \mathfrak{B}_i$ (or $\mathfrak{B} = \mathfrak{B}_1$) must be infinite dimensional and isotropic.

In characteristic 2 there are some slight variants on the algebras $\Im(Q, c)$ which are obtained (up to isotopy) as follows: inside a split $\Im(Q, c) = \Phi e_1 + \mathfrak{X}_0 + \Phi e_2$ for

$$Q(\alpha e_1 + x_0 + \beta e_2) = \alpha \beta - Q_0(x_0)$$

where Q_0 is nondegenerate, consider the ample outer ideal

$$\mathfrak{F}(Q_0, \Phi_0) = \Phi_0 e_1 + \mathfrak{X}_0 + \Phi_0 e_2$$

where Φ_0 is an additive subgroup of Φ satisfying (i) $1 \in \Phi_0$, (ii) $\Phi^2 \Phi_0 \subset \Phi_0$, (iii) $Q_0(\mathfrak{X}_0)\Phi_0 \subset \Phi_0$. (Thus we obtain $\mathfrak{F}(Q_0,\Phi_0)$ by restricting the "diagonal" entries to lie in an "ample" subspace Φ_0 of Φ .) If the characteristic is not 2 or Φ is perfect (so $\Phi^2 = \Phi$) or if $Q_0(x_0,y_0)$ is not identically zero (so $Q_0(\mathfrak{X}_0) \supset Q_0(\mathfrak{X}_0,\Phi\mathfrak{X}_0) = \Phi$) we necessarily have $\Phi_0 = \Phi$ and $\mathfrak{F}(Q_0,\Phi_0) = \mathfrak{F}(Q,c)$. Thus we consider only the case where $Q_0(\mathfrak{X}_0,\mathfrak{X}_0) = 0$ and where Q_0 is still nondegenerate (so $Q_0(x_0) = 0$ implies $x_0 = 0$). Note that this means $\mathfrak{F}_0 = \mathfrak{F}(Q_0,\Phi_0)$ is "almost" a division algebra. Thus we should not be too surprised if \mathfrak{F}_0 contains few inner ideals.

THEOREM 7. The only proper inner ideals in the algebra $\mathfrak{F}_0 = \mathfrak{F}(Q_0, \Phi_0)$ determined by a nondegenerate quadratic form Q_0 on \mathfrak{X}_0 with $Q_0(\mathfrak{X}_0, \mathfrak{X}_0) = 0$ are the spaces $\Phi_0 e_1$, $\Phi_0 e_2$, and $\Phi_0 (e_1 + \lambda e_2 + x_0)$ for $x_0 \in \mathfrak{X}_0$ with $Q_0(x_0) = \lambda \neq 0$.

Proof. Again x is invertible in \mathfrak{F}_0 if and only if $Q(x) \neq 0$ because the inverse $Q(x)^{-1}x^* = Q(x)^{-1}\{\alpha_2e_1 - x_0 + \alpha_1e_1\}$ of $x = \alpha_1e_1 + x_0 + \alpha_2e_2$ again belongs to \mathfrak{F}_0 (note $Q(x)^{-1}\alpha_i = Q(x)^{-2}Q(x)\alpha_i$ for $Q(x)^{-2} \in \Phi^2$, and $Q(x)\alpha_i = \alpha_1\alpha_2\alpha_i - Q_0(x_0)\alpha_i$ where $\alpha_i^2\alpha_j \in \Phi^2\alpha_j \subset \Phi_0$ and $Q_0(\mathfrak{X}_0)\Phi_0 \subset \Phi_0$). Therefore any proper inner ideal is totally isotropic. Furthermore, \mathfrak{F}_0 is again regular, so any inner ideal is invariant under $\Phi_0 \subset \Gamma(\mathfrak{F}_0)$.

If Q(x)=0 then $\alpha_1\alpha_2=Q_0(x_0)$. If α_1 or α_2 is zero then $Q_0(x_0)$ is too, whence $x_0=0$, and $x=\alpha_1e_1$ or α_2e_2 . Otherwise $\alpha_1\alpha_2=Q_0(x_0)\neq 0$, $x=\alpha_1(e_1+\lambda e_2+y_0)=\alpha_1y$ for $\lambda=\alpha_1^{-1}\alpha_2=\alpha_1^{-2}Q_0(x_0)\in\Phi_0$ and $y_0=\alpha_1^{-1}x_0\in\mathfrak{X}_0$. If x belongs to an inner ideal \mathfrak{B} so does y; any other element x' has (after subtraction of a suitable multiple of y) coefficient $\alpha_1'=0$, hence $x'=\alpha_2'e_2$; but then Q(y,x')=0 implies $\lambda\alpha_2'=0$, so $\alpha_2'=0$ and x'=0 and $\mathfrak{B}=\Phi_0y$.

Since all proper inner ideals are atoms in the lattice of inner ideals we have a

COROLLARY. The Jordan algebra $\mathfrak{F}(Q_0, \Phi_0)$ determined by a nondegenerate quadratic form Q_0 on \mathfrak{F}_0 with $Q_0(\mathfrak{F}_0, \mathfrak{F}_0) = 0$ has a.c.c. and d.c.c. on all inner ideals. Any proper chain of inner ideals $\mathfrak{F} = \mathfrak{B}_1 > \mathfrak{B}_2 > \cdots > \mathfrak{B}_\tau > 0$ has length $r \leq 2$.

7. The algebras $\mathfrak{J}(N,c)$. The exceptional algebras $\mathfrak{J}(\mathfrak{C}_3,\gamma)$ can be obtained via the *Springer Construction* [6], wherein one starts with a cubic form N on a vector space \mathfrak{X} over a field Φ and a basepoint $c \in \mathfrak{X}$ where N(c) = 1, and builds a Jordan algebra $\mathfrak{J}(N,c)$ with unit c and U-operator

$$(31) U_x y = T(x, y)x - x^{\#} \times y.$$

Here it is assumed that the symmetric bilinear form $T(x, y) = -\partial_x \partial_y \log N|_c$ is nondegenerate, and that the *adjoint* mapping $x^\#$ defined by $T(x^\#, y) = \partial_y N|_x$ satisfies the *adjoint formula*

(32)
$$x^{\#\#} = N(x)x$$
.

If $x \times y = (x + y)^{\#} - x^{\#} - y^{\#}$ then from (32) we obtain

(33)
$$x^{\#} \times y^{\#} + (x \times y)^{\#} = T(x^{\#}, y)y + T(y^{\#}, x)x,$$

(34)
$$x^{\#} \times (x \times y) = N(x)y + T(x^{\#}, y)x.$$

An element x is invertible in $\Im(N, c)$ if and only if $N(x) \neq 0$.

PROPOSITION 7. $\Im(N, c)$ is regular.

Proof. If $N(x) \neq 0$ then x is invertible and $x \in U_x \Im = \Im$, so assume N(x) = 0. If $x \neq 0$ but $x^\# = 0$ then T(x, y) = 1 for some y by nondegeneracy, whence $U_x y = x$ by (31), while if $x^\# \neq 0$ then again $T(x^\#, y) = 1$ for some y and by (34) $x^\# \times (x \times y) = x$, whence $U_x(x \times y) = T(x, x \times y)x - x = T(x \times x, y)x - x = 2T(x^\#, y)x - x = x$ by (31). The formula (31) shows that a subspace \mathfrak{B} is an inner ideal in \mathfrak{F} if and only if

$$\mathfrak{B}^{\#} \times \mathfrak{J} \subset \mathfrak{B}.$$

In particular, any subspace \mathfrak{B} with $\mathfrak{B}^\#=0$ is an inner ideal, even a point space. If $\mathfrak{B}^\#\neq 0$ there is an element $b\in \mathfrak{B}$ with $d=b^\#\neq 0$; if \mathfrak{B} is proper it contains no invertible elements, so N(b)=0 and hence $d^\#=b^\#\#=0$ by (32). But whenever $d^\#=0$ the subspace $d\times \mathfrak{F}$ is an inner ideal: by (33) any of its elements $d\times y$ has $(d\times y)^\#=T(y^\#,d)d$, so $(d\times y)^\#\times \mathfrak{F}=T(y^\#,d)d\times \mathfrak{F}\subset d\times \mathfrak{F}$. By (35) this means $d\times \mathfrak{F}$ is inner. In our original situation, $d=b^\#$ and $\mathfrak{B}\supset b^\#\times \mathfrak{F}$ where the latter is an inner ideal. Indeed, when $b^\#\neq 0$ but N(b)=0 we claim $b^\#\times \mathfrak{F}$ is just the principal inner ideal $U_b\mathfrak{F}$. By regularity, $U_b\mathfrak{F}$ contains Φb and hence $b^\#\times \mathfrak{F}$ by (31), while conversely we saw above that $b^\#\times \mathfrak{F}$ contains $b^\#\times (b\times y)=b$ for some y and so (by (31)) all of $U_b\mathfrak{F}$. (We remark that if $b^\#=0$ the principal inner ideal determined by b is $U_b\mathfrak{F}=\Phi b$, while if $N(b)\neq 0$ $U_b\mathfrak{F}=\mathfrak{F}$.)

We now specialize to the case $\mathfrak{J}(N,c) = \mathfrak{H}(\mathfrak{C}_3,\gamma)$ for \mathfrak{C} a Cayley algebra. We have already characterized the inner ideals in the Main Theorem, but we give another derivation of these results based on geometric reasoning (see [1]). We need the fact that the structure group of $\mathfrak{J} = \mathfrak{H}(\mathfrak{C}_3,\gamma)$ acts transitively on points, an element being a point if $x^{\#}=0$ but $x\neq 0$.

THEOREM 8. A subspace \mathfrak{B} of $\mathfrak{F} = \mathfrak{F}(\mathfrak{C}_3, \gamma)$ is an inner ideal if and only if it is one of three types: (i) $\mathfrak{B} = \mathfrak{F}$, (ii) $\mathfrak{B} = b^{\#} \times \mathfrak{F}$ for any $b \in \mathfrak{B}$ with $b^{\#} \neq 0$, (iii) a point space $\mathfrak{B}^{\#} = 0$. If $\mathfrak{B} = \mathfrak{F}$ then $\mathfrak{B} = U_1\mathfrak{F}$ is principal. If $\mathfrak{B} = b^{\#} \times \mathfrak{F}$ then $\mathfrak{B} = U_b\mathfrak{F}$ is principal. If \mathfrak{C} is a division algebra then the only point spaces are the spaces \mathfrak{D} b, and in this case $\mathfrak{B} = U_b\mathfrak{F}$ is principal.

Proof. For arbitrary $\mathfrak{F}(N,c)$ we have noted that the three types are all inner ideals. Conversely, if $\mathfrak{B} \neq \mathfrak{F}$ then $N(\mathfrak{B}) = 0$; in this case either $\mathfrak{B}^{\#} = 0$ or $b^{\#} \neq 0$ for some $b \in \mathfrak{B}$, in which case we saw $\mathfrak{B} \supset b^{\#} \times \mathfrak{F} = U_b \mathfrak{F}$. We will show that for $\mathfrak{F} = \mathfrak{F}(\mathfrak{C}_3, \gamma)$ such a $b^{\#} \times \mathfrak{F}$ is maximal proper, hence $\mathfrak{B} = b^{\#} \times \mathfrak{F}$. Now $b^{\#} \times \mathfrak{F}$ will be maximal if $S^*(b^{\#} \times \mathfrak{F}) = S(b^{\#}) \times \mathfrak{F}$ is maximal for some S in the structure group.

Since $b^{\#}$ is a point, by transitivity we can choose S so that $S(b^{\#})=e_1$. Thus we may assume $b^{\#}$ is the diagonal idempotent e_1 in $\mathfrak{D}(\mathfrak{C}_3,\gamma)$, $b^{\#}\times\mathfrak{J}=\mathfrak{J}_0(e_1)$. If $\mathfrak{B}>\mathfrak{J}_0(e_1)$, say some $x=\alpha e_1+c[12]+d[13]\neq 0$ belongs to \mathfrak{B} , then $x\neq \alpha e_1$ (since $1=e_1+e_2+e_3\notin \mathfrak{B}$), so c or d is nonzero. Now $U_{x,\mathfrak{C}[23]}e_2=\{c[12]\ e_2\ \mathfrak{C}[23]\}=c\mathfrak{C}[13]\subset U_{\mathfrak{B}}\mathfrak{J}\subset \mathfrak{B}$ and similarly $d\mathfrak{C}[12]\subset \mathfrak{B}$. Thus $\mathfrak{B}\supset \mathfrak{D}_2[12]+\mathfrak{D}_3[13]$ for subspaces $\mathfrak{D}_i\subset \mathfrak{C}$ with $\mathfrak{D}_i\mathfrak{C}\subset \mathfrak{D}_j$. Then $\mathfrak{D}_3=\mathfrak{D}_2=\mathfrak{D}$ is a right ideal, so $\mathfrak{D}=0$ or \mathfrak{C} ; since $x\neq 0$ we must have $\mathfrak{D}=\mathfrak{C}$, $1[12]\in \mathfrak{B}$, consequently \mathfrak{B} contains the invertible element $1[12]+e_3$, a contradiction. Thus $e_1\times \mathfrak{J}$ is maximal, hence $d\times \mathfrak{J}$ is maximal for any point d.

Finally, if $\mathfrak E$ is a division algebra we must show any point space has dimension 1. If $b \neq 0$ lies in a point space $\mathfrak B$ we may assume (applying an element of the structure group) that $b=e_1$. Then $b\times \mathfrak B=0$, and since $e_1\times e_i=e_j$, $e_1\times a[23]=-a[23]$ with all other product zero we see any other $b'\in \mathfrak B$ has $b'=\alpha_1e_1+a_2[13]+a_3[12]$. But $b''=b'-\alpha_1e_1\in \mathfrak B$ has $b''^2=n(a_2)(e_1+e_3)+n(a_3)(e_1+e_2)+\bar a_3a_2[23]$ so $n(a_2)=n(a_3)=0$. In a division algebra this implies $a_2=a_3=0$, $b'\in \Phi b$, and $\mathfrak B=\Phi b$.

The point spaces of the split $\mathfrak{H}(\mathfrak{C}_3, \gamma)$ have been investigated by T. A. Springer and F. Veldkamp [7, Proposition 3.14]. By geometric methods they obtained the classification which we arrived at in the Main Theorem; their work assumed characteristic $\neq 2$, but J. Faulkner has shown [1] how the geometry may be treated uniformly in all characteristics. The lattice of inner ideals seems to play an important role in geometries associated with these algebras.

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